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<b>Project title:</b> Adapting Afghan Communities to Climate-Induced Disaster Risks		
<b>Country:</b> Afghanistan	<b>Implementing Partner:</b> Ministry of Agriculture, Irrigation and Livestock	<b>Management Arrangements:</b> National Implementation Modality
<b>UNDAF/Country Programme Outcome:</b> <i>UNDAF Outcome 1/CPD Outcome 3. Economic growth is accelerated to reduce vulnerabilities and poverty, strengthen the resilience of the licit economy and reduce the illicit economy in its multiple dimensions.</i>		
<b>UNDP Strategic Plan Output:</b> <i>Output 5.3: Gender responsive disaster and climate risk management is integrated in the development planning and budgetary frameworks of key sectors (e.g. water, agriculture, health, education)</i>		
<b>UNDP Social and Environmental Screening Category:</b> Low	<b>UNDP Gender Marker:</b> GEN-2	
<b>Atlas Project ID/Award ID number:</b> 00098027	<b>Atlas Output ID/Project ID number:</b> 00101512	
<b>UNDP-GEF PIMS ID number:</b> 5398	<b>GEF ID number:</b> 6914	
<b>Planned start date:</b> June 2017	<b>Planned end date:</b> May 2022	
<b>LPAC date:</b> 6 December 2016		
<p>Rural livelihoods in Afghanistan are threatened by the impacts of climate change and climate-induced disasters. Climate change projections indicate that total annual precipitation will decrease, with greater variability between seasons. This is expected to result in increased frequency and severity of climate-induced disasters such as flooding, drought and landslides, which will lead to loss of lives, displacement of communities, and loss of agricultural crops and livestock. Rural communities in Jawzjan and Nangarhar Provinces which have limited resources to invest in adaptation measures to reduce the risks posed by droughts, floods and landslides are particularly vulnerable to climate-induced disasters.</p> <p>To address the problem of increased vulnerability to the effects of climate change the project proposes to promote community-based and gender-sensitive adaptation to the impacts of climate change in Jawzjan and Nangarhar Provinces. This will be achieved through the implementation of activities under four complementary components. Firstly, the project will build capacities for decision-making and implementation of gender-sensitive climate-induced disaster risk reduction measures by vulnerable communities. Secondly, community-based early warning systems will be established to improve monitoring of and response to climate-induced disasters in targeted communities. Thirdly, climate-resilient livelihood options will be promoted within targeted communities, including construction of climate-resilient habitats and shelters, and diversification of livelihoods with a focus on women, youth and marginalised community groups. Finally, capacities of national and sub-national government institutions to integrate climate change into development planning will be enhanced.</p>		
<b>Financing Plan</b>		
GEF LDCF	USD 5,600,000	
Cash co-financing to be administered by UNDP		
UNDP TRAC resources	USD 1,000,000	
(1) Total Budget administered by UNDP	USD 6,600,000	
<b>PARALLEL CO-FINANCING (all other co-financing that is not cash co-financing administered by UNDP)</b>		

Government	USD 5,000,000	
ADB	USD 57,000,000	
World Bank	USD 2,500,000	
(2) Total co-financing	USD 64,500,000	
(3) Grand-Total Project Financing (1)+(2)	USD 71,100,000	
<b>Signatures</b>		
<b>Signature:</b> print name below	Agreed by Government	Date/Month/Year:
<b>Signature:</b> print name below	Agreed by Implementing Partner	Date/Month/Year:
<b>Signature:</b> print name below	Agreed by UNDP	Date/Month/Year:

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## II. DEVELOPMENT CHALLENGE

The Islamic Republic of Afghanistan is a land-locked developing country, situated in an arid and predominantly mountainous area of central Asia. The total surface area comprises approximately 652,230 km<sup>2</sup>, with topography varying from high mountains the east, through the central highlands to plains in the south and west.<sup>1</sup> More than 70% of the population resides in rural areas and 60–75% of Afghans are primarily reliant on agriculture for their income and livelihoods.<sup>2,3</sup> Afghanistan's Human Development Index was ranked as 171<sup>st</sup> out of 188 countries in the world.<sup>4</sup>

Food insecurity and marginal agricultural livelihoods are two interrelated challenges currently impeding development in Afghanistan<sup>5</sup>, with approximately one third of the population experiencing regular food insecurity where 67.1% of food-insecure households are headed by women.<sup>6</sup> At present, agricultural livelihoods are not sufficiently productive to support Afghanistan's growing population – approximately 40% of Afghans are unemployed<sup>7</sup>, an estimated 39% live below the national poverty line<sup>8</sup> and more than half of all households in Afghanistan are in debt.<sup>9</sup> Consequently, many rural households undertake coping strategies that are detrimental to development and social wellbeing, such as reducing food intake, selling equipment that underpins livelihoods or removing children from schools to earn wages through unskilled labour.<sup>10</sup>

Rural livelihoods in Afghanistan are further threatened by climate change and climate-induced disasters. It is considered to be one of the countries at greatest risk to the predicted impacts of climate change.<sup>11</sup> Current climate trends indicate that total annual precipitation has decreased by about 30 mm, with a decrease of more than 40 mm in spring and a small increase in summer. Climate projections indicate that future precipitation patterns in the country will continue along these observed trends. The Northern, Central Highlands and Eastern Regions are expected to experience a decrease in mean precipitation of 5–10% during spring, while the Hindu Kush area is expected to experience a considerable increase in precipitation of approximately 10% during the winter.

The impacts of climate change are thus expected to include an increased frequency and severity of climate-induced disasters such as flooding, drought, landslides, glacial lake outflows and extreme climate variability.<sup>12,13</sup> The expected increase of precipitation in the Hindu Kush is expected to lead to increased volumes of snowmelt in spring, resulting in flash floods and associated landslides.<sup>14</sup> Droughts have historically always been a frequent occurrence in Afghanistan. In recent years, there has been an increase in the frequency and severity of drought incidents.<sup>15</sup> This trend of frequent and severe droughts is expected to continue as a result of increased temperatures and reduced rainfall under future climate change conditions, causing intermittent but long and extensive periods of droughts rather than as part of predictable climate cycles.<sup>16</sup>

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<sup>1</sup> Central Intelligence Agency (CIA). 2016. The World Factbook: Afghanistan. Available online: <https://www.cia.gov/library/publications/the-world-factbook/geos/af.html>.

<sup>2</sup> Central Statistics Organisation (CSO). 2014. National Risk and Vulnerability Assessment 2011–12. Afghanistan Living Condition Survey.

<sup>3</sup> United States Agency for International Development (USAID). Afghanistan: Country Profile.

<sup>4</sup> United Nations Development Programme (UNDP). 2015. Human Development Report 2015: Work for Human Development.

<sup>5</sup> According to the 2011/2012 National Vulnerability Risk Assessment, ~30% of the population have a calorie-deficient diet.

<sup>6</sup> CSO. 2016. National Risk and Vulnerability Assessment 2013–14. Afghanistan Living Condition Survey.

<sup>7</sup> CSO. 2014. National Risk and Vulnerability Assessment 2011–12. Afghanistan Living Condition Survey.

<sup>8</sup> CSO. 2016. National Risk and Vulnerability Assessment 2013–14. Afghanistan Living Condition Survey.

<sup>9</sup> CSO. 2014. National Risk and Vulnerability Assessment 2011–12. Afghanistan Living Condition Survey.

<sup>10</sup> CSO. 2014. National Risk and Vulnerability Assessment 2011–12. Afghanistan Living Condition Survey.

<sup>11</sup> Notre Dame Global Adaptation Index. 2014.

<sup>12</sup> United Nations Environment Programme (UNEP). 2009. Afghanistan: National Capacity Needs Self-Assessment for Global Environmental Management and National Adaptation Programme of Action for Climate Change.

<sup>13</sup> CSO. 2014. National Risk and Vulnerability Assessment 2011–12. Afghanistan Living Condition Survey.

<sup>14</sup> Government of the Islamic Republic of Afghanistan (GoIRA): Ministry of Agriculture, Irrigation and Livestock (MAIL). 2009. Afghanistan's Fourth National Report to the Convention on Biological Diversity.

<sup>15</sup> For example, the country experienced recurring droughts in 1963–64, 1966–67, 1970–72, 1998–2006 and 2008–09.

<sup>16</sup> GoIRA: MAIL. 2009. Afghanistan's Fourth National Report to the Convention on Biological Diversity.

These disasters generally result in *inter alia* loss of lives, temporary or permanent displacement of communities, loss of agricultural crops and livestock, destruction of productive assets and isolation of remote communities and disruption of links to markets for agricultural and other goods. It is estimated that 80% of Afghanistan's economic losses are caused by climate-induced disasters – such as floods and droughts – in combination with extreme winters.<sup>17</sup> For example, in 2012, more than 380 disaster incidents – including flooding – were recorded in 195 districts and resulted in 479 deaths and damage to almost 30,000 homes.<sup>18</sup> In 2014, heavy, sustained rainfall in April caused flash floods in 27 districts which resulted in more than 150 deaths and the displacement of approximately 16,000 people.

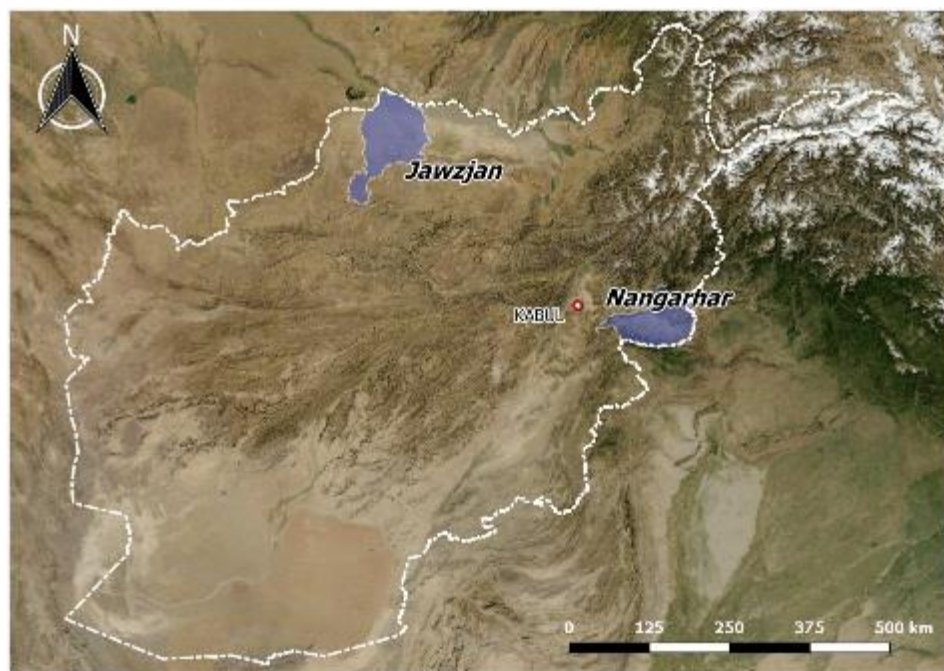


Figure 1. Location of Jawzjan and Nangarhar Provinces within the Islamic Republic of Afghanistan.

In the provinces of Jawzjan<sup>19</sup> and Nangarhar<sup>20</sup> (see Fig. 1), rural communities in Afghanistan are particularly vulnerable to climate-induced disasters. The root causes of this vulnerability are: i) poverty<sup>21</sup>; and ii) a dependence on rain-fed agriculture.<sup>22</sup> Poor communities generally have limited resources to invest in measures to maintain or increase agricultural production, for example by installing irrigation infrastructure. In both Jawzjan and Nangarhar, the mean percentage of households owning irrigated land is only about 30%. The prevalence of rain-fed agriculture in these two provinces results in lower productivity compared to areas where irrigation is widely implemented.<sup>23</sup> Indeed, the Ministry of Agriculture, Irrigation and Livestock (MAIL) estimates that the yield of wheat from rain-fed fields is 2.5 times smaller than from irrigated fields.<sup>24</sup>

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<sup>17</sup> For further information, see: [www.unisdr.org/archive/31685](http://www.unisdr.org/archive/31685).

<sup>18</sup> OCHA. 2013. Afghanistan: districts affected by natural disasters as recorded by OCHA field offices from 1 January to 31 December 2012. OCHA, Kabul.

<sup>19</sup> Larsson, K. 2008. A provincial survey of Balkh, Jawzjan, Samangan and Saripul. SIDA, Stockholm.

<sup>20</sup> Ajmal, M., Saboor, A., Tanwir, F., Maann, A.A. & Hussain, M. 2006. Dynamics of relative poverty in rural areas: evidence from Nangarhar Province, Afghanistan. *Pakistan Journal of Agricultural Science* 43, 220–224.

<sup>21</sup> In 2011, ~35% of Afghanistan's population was estimated to be living below the national poverty line. See: [www.http://data.worldbank.org/country/afghanistan](http://data.worldbank.org/country/afghanistan).

<sup>22</sup> CSO. 2014. National Risk and Vulnerability Assessment 2011–12. Afghanistan Living Condition Survey.

<sup>23</sup> CSO. 2014. National Risk and Vulnerability Assessment 2011–12. Afghanistan Living Condition Survey.

<sup>24</sup> MAIL. 2012. Agriculture prospects report. MAIL, Kabul.

The combination of limited agricultural productivity, widespread poverty and climate change in Jawzjan and Nangarhar results in a negative feedback cycle. With limited agricultural output, rural communities generate little income. As a result, these communities can only make limited investments into agricultural production and other activities to reduce their vulnerability to climate-induced disasters. As climate change intensifies, so the productivity of farming is expected to worsen and there will be increases in losses and damages. Consequently, poverty in rural communities will be exacerbated. As poverty intensifies, rural farmers and pastoralists will become further constrained in the investments they can make in livelihood activities and disaster resilience.

Despite recent progress in reducing gender inequality in Afghanistan<sup>25</sup>, it still remains high and is closely linked to poverty and other development challenges which are deeply-rooted in social norms and economic conditions with a greater impact on the poor, particularly women and young people. The socioeconomic effects of food insecurity and limited agricultural productivity disproportionately affect women, largely as a result of limited access to education, financial resources and decision-making processes. Specifically, the proportion of Afghanistan's population that have completed any level of formal education is about 25%, while this is only true for approximately 10% of women in Afghanistan.<sup>26</sup> In traditional social structures, the role of women is largely confined to the household<sup>27</sup>, with limited scope to influence decision-making processes<sup>28</sup>, particularly at a community level in rural areas. Furthermore, women have limited income-generating opportunities and constitute an estimated 20% of the national labour force.<sup>29</sup> Of the total population of women that participate in the labour force, 73% are unpaid family workers while the rate of unpaid family workers among men is only 19%.<sup>30</sup>

As climate change intensifies, Afghan women are expected to experience greater negative consequences than men. Women are disproportionately affected due to vulnerability and the capacity to adapt to the process of climate change are affected by various factors, including age, education, social status, wealth, access to resources, sex, gender and many other social dimensions. Women and children are often responsible for gathering water and fuel in traditional agrarian societies, tasks that are laborious, challenging and time-consuming. These tasks become more time intensive due to the impact of climate change. In some societies, more women are dying during natural disasters because men receive preferential treatment in rescue and relief efforts. In addition, at the time of crisis, women's needs are often not considered a priority in recovery programmes. Furthermore, women are generally more vulnerable to diseases in post-disaster period because of their limited access to medical services and their responsibility to care for the sick.

The development challenge detailed above – and the role of climate change in exacerbating it – is consistent with the challenges addressed by a number of Afghanistan's national development priorities. Specifically, climate-induced hazards identified in Afghanistan's **National Adaptation Programme of Action (NAPA)** and their impact on Afghanistan's rural communities include:

- *Periodic drought* that results in a decrease in productivity of crops, forced migration, changes in livelihood activities, decrease exports, and financial losses.
- *Flash floods* that result in damage to irrigation canals, destruction of agricultural lands, loss of crops and livestock, damage to and destruction of dwellings, spread of diseases, and destruction of infrastructure such as roads and bridges.
- *Rise in temperature*, which results in an increase in diseases that affect humans, agriculture and livestock as well as changes in vegetation cover and associated grazing resources.

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<sup>25</sup> UNICEF 2014. Children and women in Afghanistan: a situation analysis. UNICEF, Kabul.

<sup>26</sup> CSO. 2014. National Risk and Vulnerability Assessment 2011–12. Afghanistan Living Condition Survey.

<sup>27</sup> These roles include *inter alia* bearing children, cooking, cleaning, bringing water to the home and sometimes contributing to household income.

<sup>28</sup> World Bank. 2005. Afghanistan national reconstruction and poverty reduction - the role of women in Afghanistan's future. World Bank, Washington DC.

<sup>29</sup> UNICEF 2014. Children and women in Afghanistan: a situation analysis. UNICEF, Kabul.

<sup>30</sup> CSO. 2016. National Risk and Vulnerability Assessment 2013–14. Afghanistan Living Condition Survey.

Consistent with the NAPA, Afghanistan's **Strategic National Action Plan (SNAP)** (2011) notes that "threats of climate change are observed in the occurrence of climate-related hazards and increased uncertainty" and that for rural communities, "vulnerability is increasing due to ecosystem degradation, [and reduced] water and food availability". The **National Disaster Management Plan (NDMP)** (2010) lists factors that increase vulnerability in Afghanistan as a "high level of poverty, lack of livelihood and a lack of income-generating opportunities". MAIL's **Food Security and Nutrition Strategy (FSN)** (2015–2019) prioritizes the development challenges of *inter alia* food insecurity and limited agricultural productivity and seeks to address these challenges through objectives by ensuring: i) the availability of sufficient food for all Afghans; and ii) stable food supplies over time and in disaster situations.

The development challenges identified above are also aligned with the challenges being addressed by three of the UN's **Sustainable Development Goals (SDGs)**, namely:

- i) *SDG 1: No Poverty – End poverty in all its forms everywhere.* The proposed project will support livelihood diversification through promotion of alternative income-generation and value-addition activities to contribute towards more sustainable livelihoods and poverty alleviation.
- ii) *SDG 2: Zero Hunger – End hunger, achieve food security and improved nutrition and promote sustainable agriculture.* The proposed project will support food security and sustainable agriculture through promotion of climate-resilient agricultural techniques such as climate-resistant crops and improved cultivation practices.
- iii) *SDG13: Climate Action – Take urgent action to combat climate change and its impacts.* The primary objective of the proposed project is to promote adaptation to climate change impacts. This will be done through reducing the impacts of climate-induced disasters through promoting community-based planning and implementation of disaster risks reduction measures, supporting community-based early warning systems and promoting climate-resilient agricultural and livelihoods practices.

A number of initiatives have been undertaken to reduce the impacts of natural disasters on local communities. These include the Asian Development Bank-supported *Water Resources Development Investment Programme – Project 1* and the World Bank-supported *Technical Assistance: Establishing Critical Risk Information* project. In addition, three Ministry of Agriculture, Irrigation and Livestock projects have been established to support rural agriculture and related livelihoods, namely the *Rehabilitation and Management of Forests and Watersheds* project, the *On-farm Water Management* project and the *Saffron Extension* project. However, these initiatives are not able to comprehensively address the impacts of climate change on local communities. For example, none of these initiatives provide for local-level, community-based planning and implementation of disaster risk reduction measures that focus specifically on climate change-induced disasters. Moreover, the initiatives do not make provisions for establishing localised, community-based early warning systems to inform preparedness and response to climate-induced disasters. Finally, these initiatives do not promote climate-resilient agricultural and livelihoods practices that take into account current and future climate change trends in planning of community livelihood activities.

The preferred solution to address climate vulnerability of rural communities in Jawzjan and Nangarhar Provinces to climate-induced disasters would see the establishment of effective mechanisms that will build the disaster risk resilience and adaptive capacity of these communities. However, a number of barriers prevent the achievement of this objective, including *inter alia*:

- *Insufficient data and limited capacity to monitor and assess the impacts of climate-induced disasters.* There is limited technical capacity within national institutions – such as the Afghanistan National Disaster Management Authority (ANDMA), Afghanistan Meteorological Authority (AMA), Ministry of Rural Rehabilitation and Development (MRRD), National Environmental Protection Agency (NEPA) and MAIL – to monitor and assess the impacts of climate change and climate-induced disasters. This limited technical capacity is exacerbated by the absence of a centralised system for managing climate data and in particular, data on climate-induced disasters. In addition, data on the gender-specific impact of climate change in Afghanistan are largely absent. At the district and community level, there is poor communication of – and therefore a limited understanding of – the impacts of climate change and particularly climate-induced hazards.
- *Limited inclusion of climate change and disaster risk reduction considerations into national and provincial development plans.* Specifically, national policies, strategies and plans – such as National Priority Programs – fail to incorporate: i) risks of losses and damages, based on historical trends and a range of climate change

projections; and ii) priorities identified in national plans and strategies developed under the Sendai Framework for Disaster Risk Reduction and the United Nations Framework Convention on Climate Change (UNFCCC).

- *Limited coordination between government agencies to anticipate and respond to climate-induced hazards.* Despite the mandate of several government agencies – such as AMA, ANDMA and MRRD – to detect and respond to climate-induced hazards, coordination of activities between these agencies is limited. In addition – and partly as a result of limited inter-agency cooperation – disaster response initiatives that are implemented are poorly monitored and evaluated. For example: i) risk assessment and systematic recording of damages and losses are not being undertaken as the basis for DRR and development planning; ii) accountability for planning and implementing risk reduction activities is unclear; iii) the impacts of past investments in disaster risk management are not monitored to learn from past investments; and iv) DRR is not seen as an important political and economic imperative but rather as an added cost and must thus compete with other development priorities for funding. Without an evidence base from which to assess the success and challenges of emergency preparedness and response, it is difficult to identify gaps in contingency plans and to apply an adaptive management approach.
- *Limited inclusion of women in Disaster Risk Reduction (DRR) activities.* In general, women in Afghanistan have limited literacy levels compared with men. Women are thus often excluded from decision-making processes<sup>31</sup>, particularly with regard to politics, land use and economic activities.<sup>32</sup> In line with this trend, women are often excluded from the planning and implementation of DRR activities. However, women play important roles in rural livelihoods as well as within household coping mechanisms to deal with the impacts of climate-induced disasters. Despite this, such roles are often not recognised because of the perceptions of women’s traditional roles vis-à-vis those of men. As a result, many DRR measures do not adequately consider gender, which can result in climate-induced disasters disproportionately affecting women.

#### **Problem Statement**

The **problem** that this project seeks to address is that lives and livelihoods of local communities in Jawzjan and Nangarhar Provinces in Afghanistan are threatened by the impacts of climate change and climate-induced natural disasters. Greater variability of precipitation and increased temperatures are expected to result in more frequent and intense flooding as well as greater incidences of extended drought periods. The **objective** of this Least Developed Countries Fund (LDCF) project is to enhance the preparedness and resilience of Afghan communities to climate-induced disaster risks. This would result in: i) reduced losses and damages resulting from climate-induced disasters’ ii) earlier and faster recovery from eventual climate-shocks; and iii) more sustainable growth and development as well as the creation of new development opportunities.

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### **III. STRATEGY**

The preferred solution to the problem of increased vulnerability to the effects of climate change is to establish community-based and gender-sensitive preparedness and response mechanisms to adapt to the impacts of climate change in Khwaja Du Koh, Qarqin and Mardyan Districts in Jawzjan Province and Bihsud, Khewa and Kama Districts in Nangarhar Province (see Figure 2). By promoting enhanced decision-making and implementation of gender-sensitive DRR measures, community-based early warning systems and climate-resilient livelihoods in the targeted communities, the LDCF project will contribute towards this solution. In addition, technical and institutional capacity building will be undertaken at national, provincial and district levels to ensure that climate risks and opportunities are mainstreamed into national and sub-national planning, decision-making and budgeting processes.

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<sup>31</sup> According to the ACLS 2013–2014, only ~35% of women can independently decide how to spend their own earnings.

<sup>32</sup> CSO. 2016. National Risk and Vulnerability Assessment 2013–14. Afghanistan Living Condition Survey.



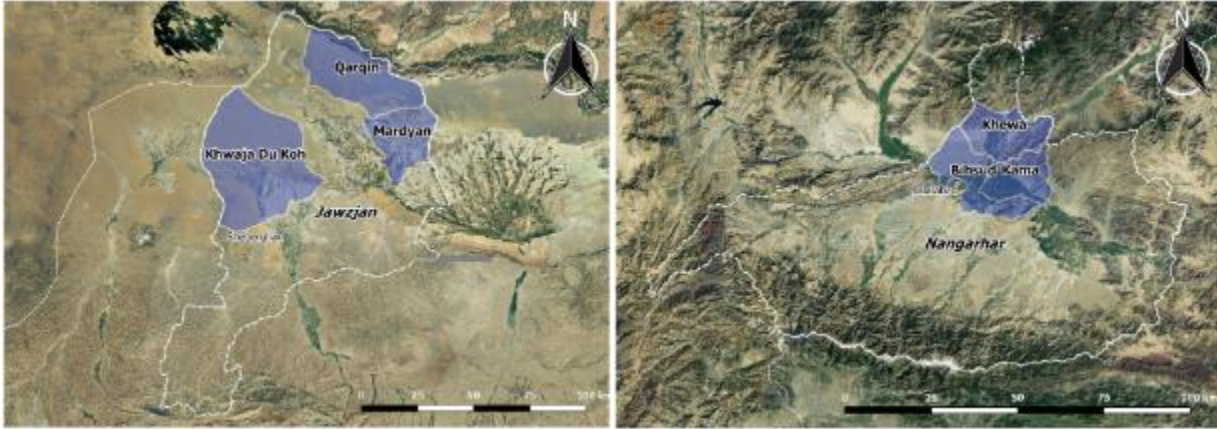


Figure 2. Location of the target districts within Jawzjan and Nangarhar Provinces.

### National benefits

At the national level, various line ministries and government agencies will be direct beneficiaries of technical and institutional capacity-building activities. These include MAIL, MRRD, ANDMA, AMA, Ministry of Energy and Water (MoEW) and NEPA. Institutional capacity for integrating climate risks and opportunities into national and provincial development plans, policies, budgetary allocation and implementation mechanisms will be enhanced through: i) setting of adaptation objectives; ii) identification and cost-benefit analysis of adaptation options; iii) formulation and revision of Provincial Climate Action Plans and Community Development Plans to integrate gender-appropriate adaptation considerations; and iv) training on processes and methodologies to integrate gender-sensitive climate change adaptation measures into medium and long-term development planning. In addition, technical capacity on climate change policy and financing within the NEPA Climate Change Unit will be enhanced through training on climate change vulnerability and impact assessment for relevant sectors as well as the formulation of policy briefs and recommendations for addressing sector-specific climate vulnerabilities. In addition, lessons learned and best practices on climate change adaptation and DRR measures will be shared across the region through the Heart of Asia – Istanbul Process<sup>33</sup> and other regional knowledge-sharing opportunities.

Capacity building will enhance technical knowledge on climate change within line ministries and government agencies as well as strengthen institutional capacities to improve the ability of government officials to integrate climate change considerations and DRR measures into policy- and decision-making processes as well as government budgetary considerations at the provincial and district levels. The incorporation of climate change into national and sub-national development planning will facilitate the upscaling and replication of climate change adaptation and DRR measures beyond the implementation period of the LDCF project as well as in areas outside of the project’s demonstration sites.

### Local benefits

The project is strongly focused on strengthening adaptive capacity and climate resilience of local communities by improving preparedness for climate-resilient DRR measures and livelihood options in six districts across the provinces of Jawzjan and Nangarhar (see Table 1).

**Table 1.** Populations of target districts within Jawzjan and Nangarhar Provinces, disaggregated by gender.

Nangarhar	Districts	Population 2015-16			Jawzjan	Districts	Population 2015-16		
		Female	Male	Total			Female	Male	Total

33 The Heart of Asia-Istanbul Process is comprised of 14 member countries from the region surrounding Afghanistan and is supported by more than 20 other countries and international organizations. (<http://hoa.gov.af>)

Bihsud	57,123	589,23	116,046	Khwaja Du Koh	13,441	14,041	27,482
Khewa	38,282	402,02	78,484	Qarqin	8,640	8,880	17,520
Kama	27,241	289,21	56,162	Mardyan	19,441	19,921	39,362
<b>Total</b>	<b>122,646</b>	<b>128,046</b>	<b>250,692</b>	<b>Total</b>	<b>41,522</b>	<b>42,842</b>	<b>84,364</b>

Firstly, the capacity of targeted communities for decision-making and implementation of gender-sensitive climate-induced disaster risk reduction measures will be enhanced. Gender-sensitive awareness-raising activities will be undertaken amongst members of local communities, CDCs and district-level officials of MAIL and MRRD on the integration of climate change information into planning and implementation of DRR measures. Training will be provided to ensure that staff engaged in relief and reconstruction support are aware of how to integrate a gender perspective into their actions. In addition, training will be provided to local communities, CDCs and district-level MAIL and MRRD staff on monitoring, tracking and analysing weather data and hazard mapping to inform DRR measures and livelihood practices. This will be complemented by training on gathering systematically sex and age-disaggregated data prior to planning and implementation of climate change adaptation measures, as well as through gender-sensitive hazard and risk mapping and vulnerability assessments in the targeted communities. These activities will ensure that local-level planning is undertaken with full understanding of the risks posed by climate change and climate-induced disasters, as well as the specific vulnerabilities of men, women, the youth, the elderly, people with disabilities and marginalised groups (e.g. *Kuchi* nomads) to current and future impacts of climate change.

Secondly, community-based early warning systems will be established to be effectively utilised by all vulnerable groups in the targeted communities. Both women and men will be consulted on priority needs, consultations will be conducted together, if appropriate by the local culture, and separately to capture the voice of women. Appropriate mechanisms will be established for continuous monitoring of climate hazards to inform the generation of accurate, timely and locally-specific early warnings and advisories on climate-induced disasters. Efficient communication channels and procedures will be established for generating and disseminating early warnings in local languages to vulnerable groups (including men, women, the youth, the elderly and people with disabilities) taking into account rugged terrain, low levels of literacy and other aspects of the local environmental and socio-economic context. Furthermore, gender-sensitive, community-based response mechanisms will be established to ensure that early warnings and climate advisories are received and acted upon in an appropriate and coordinated manner. This will include training and undertaking of emergency drills that incorporate considerations of the vulnerabilities of different community members – particularly pregnant women, children and elders – to the impacts of climate change and climate-induced disasters. The dissemination of early warnings and advisories to local communities in local languages will improve effective preparedness for and timely response to climate-induced disasters. This will empower local communities to implement gender-sensitive preventative measures to protect their lives and livelihoods from the impacts of current and future climate change trends by reducing damage to property and economic assets as well as preventing loss of human lives. In addition, advisories will be used by local communities to inform decision-making on agricultural and other livelihood practices, e.g. alternative cropping decisions based on expected weather conditions.

Finally, climate-resilient livelihood options that focus on vulnerable groups will be promoted within targeted communities. This will include the construction of climate-resilient habitats and emergency shelters that will protect the lives of community members during and after disaster events, taking into account the specific needs of different groups (e.g. men, women, children, the elderly and patients). Furthermore, the diversification of livelihood options will be promoted with a focus on women and youth employment. This will include climate-resilient agricultural practices easily adopted to support their current livelihoods (e.g. drought- and salt-resistant varieties, greenhouse/tunnel farming, drip irrigation, post-harvest storage) as well as alternative income-generating and value-add activities (e.g. crop processing and packaging, bee-keeping) to diversify livelihood options. Before the introduction of livelihood diversification options, assessments will be conducted to analysis if the intervention has the potential to promote gender equality and/or women’s empowerment or is likely to have an adverse gender impact or increases women’s exposure to risk. The adoption of climate-resilient and diversified agricultural activities

will: i) increase the resilience of targeted communities to the impacts of climate change; and ii) improve the financial viability and sustainability of agricultural practices in the face of current and future climate change trends.

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## IV. RESULTS AND PARTNERSHIPS

### i. Expected results:

The objective of the LDCF project is to improve the preparedness and resilience of selected Afghan communities to climate-induced disaster risks. To achieve this objective, the project will support: i) decision-making and implementation of gender-sensitive climate-induced disaster risk reduction measures; ii) establishment and effective utilisation of gender-sensitive community-based early warning systems; iii) implementation of climate-resilient agricultural practices focusing on vulnerable groups; and iv) strengthening of institutional capacities to integrate climate risks and opportunities into development plans, policies, budgetary allocation and implementation mechanisms. The project will achieve the objective described above through the delivery of four integrated and complementary components detailed in the following sections.

#### **Component 1: Capacity development on climate information and weather-induced disaster risks**

**Total cost: USD 44,916,485**

**GEF Project Grant requested: USD 967,060**

**Co-financing: USD 43,949,425**

#### **Without LDCF intervention (baseline):**

Climate change is a relatively poorly-understood concept in Afghanistan, particularly at the local level in rural, vulnerable communities. While there is considerable awareness of the impacts of natural disasters – including both climate-induced and non-climate disasters – there is limited knowledge on climate change trends and how current and future climate change effects will impact on community-level DRM activities. At present, there are few ongoing projects/programmes that focus on building the capacity of local-level stakeholders – including CDC members and district-level government officials – on monitoring climatic changes as well as on integrating climate change risks and opportunities into local-level planning and decision-making processes.

Without LDCF intervention, planning and decision-making on management of climate-induced disaster risks would remain inadequate for reducing vulnerability of local communities. Local authorities and CDCs would remain unaware of the need to undertake monitoring, tracking and analysis of weather data to inform planning and decision-making on DRM. Moreover, there would be inadequate mapping of climate-induced hazards and the resultant vulnerabilities of local communities. Vulnerable communities would thus remain at risk to climate-induced disasters such as floods and droughts, as they would remain unable to plan and implement appropriate disaster risk reduction measures.

#### **With LDCF intervention (project alternative):**

The proposed LDCF project will enhance capacities of local communities to understand the risks posed by current and future climate change, as well as to plan and implement appropriate measures for disaster risk reduction. Targeted communities, CDCs and district-level representatives of government institutions would have greater awareness of the benefits of integrating climate information into community- and district-level planning for DRM. Moreover, communities would have greater capacity for undertaking monitoring of weather to inform planning through locally calibrated data and implementation of DRM measures, including the use of such data for hazard and risk mapping. Gender-sensitive assessments would be undertaken to develop maps of site-specific climate hazards and vulnerabilities to develop seasonal calendars for enhanced planning for climate-induced disasters. These awareness-raising, training, risk and vulnerability mapping activities will enhance capacities for decision-making and implementation of gender-sensitive DRR measures, resulting reduced risk posed by climate-induced disasters to the lives and livelihoods of targeted communities.

**Outcome 1: Decision-making and implementation of gender-sensitive climate-induced disaster risk reduction measures in selected communities enhanced.**

Component 1 would see improved decision-making and implementation of climate-induced risk reduction measures in selected communities within the pilot provinces through three complementary approaches. Firstly – under Output 1.1 – awareness of the need to incorporate climate information into DRM and other district-level planning will be improved amongst community members, CDCs and district-level representatives of MAIL and MRRD. This awareness raising will be conducted in local languages (particularly Dari and Pashto) and will be tailored to the diverse needs of the targeted beneficiaries, e.g. gender-sensitive approaches to ensure that both women and men are equally targeted, their respective voices are heard and receive the awareness messages. The awareness raising will take place through diverse media channels to ensure that the greatest number of targeted beneficiaries receive the messages, e.g. signboards, posters, public gatherings and *masjid*, women’s *shuras*<sup>34</sup>, women’s cooperatives, radio and TV broadcasts, schools, SMS and social media.

Building on this awareness raising, training will be provided on climate-related hazard mapping as well as monitoring, tracking and analysing weather data under Output 1.2. Appropriate training materials will be developed by the project in Dari and Pashto, tailored to the types of climate-induced hazards and disasters that threaten lives and livelihoods in the targeted communities, with a particular focus on how climate-induced hazards poses different threats to different members of the community, e.g. women, children, elders, people with disabilities and members of marginalised population groups. Training will cover aspects such as: i) the link between climate change and climate-induced hazards; ii) how to monitor, track and interpret climate data; and iii) how to use this climate data to inform local-level hazard and risk mapping as well as early warning systems (EWS). The training will be provided to community members (separate sessions for men and women), CDCs, early warning volunteers and district-level offices of MRRD and MAIL, including dedicated training of female early warning volunteers.

Finally, hazard and risk mapping and vulnerability assessments will be undertaken in the selected communities under Output 1.3. Within project sites, fine-scale hazard maps will be produced that incorporate site-specific hazards including *inter alia* floods, landslides, droughts and desertification. The risk mapping and vulnerability assessments will be gender-sensitive to ensure that the impacts of climate change on both men and women are included within local-level DRM and planning processes. In addition, the assessments will include consideration of the impacts of climate-induced hazards and disasters on all vulnerable segments of the communities including the youth, the elderly, people with disabilities, internally displaced people (IDPs) and marginalised groups such as *Kuchi* nomads. The risk mapping and vulnerability assessments will be combined with data from the United Nations Office for the Coordination of Humanitarian Affairs (OCHA) to develop climatic calendars that detail seasonal risks such as flooding (during the months of *Hoot* to *Jawza*) and droughts (during the months of *Saratan* to *Sonbula*).

By conducting awareness-raising and training activities as well as undertaking risk mapping and vulnerability assessments, the capacity of local communities, CDCs and MAIL and MRRD district-level offices for decision-making and implementation of gender-sensitive DRR measures will be enhanced. This will result in reduced risk to lives and livelihoods within targeted communities as a result of climate-induced natural disasters.

**Outputs and indicative activities under Component 1**

Outputs	Activities
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<sup>34</sup> A *shura* is a traditional institution present in most Afghan communities that is responsible for *inter alia* local-level conflict resolution and coordination of community affairs. There are separate *shuras* for both men and women that represent the priorities and concerns of their respective groups within the community.

<p>1.1. Gender-sensitive awareness raising undertaken in communities, CDCs and MAIL and MRRD district-level offices on the need to integrate climate information into DRM and planning efforts.</p>	<p>1.1.1. Prepare gender-sensitive awareness-raising material in Dari and Pashto on the need to integrate climate information into DRM and planning efforts. 1.1.2. Conduct awareness raising through appropriate media including signboards, posters, public gatherings and <i>masjid</i>, women’s <i>shuras</i>, women cooperatives, radio and TV broadcasts, schools, SMS and social media.</p>
<p>1.2. Training provided to communities, CDCs, early warning volunteers (men and women) and MRRD and MAIL district-level offices on monitoring, tracking and analysing weather data and hazard mapping.</p>	<p>1.2.1. Develop training materials in Dari and Pashto for communities, CDCs, early warning volunteers and MRRD and MAIL district-level offices on monitoring, tracking and analysing weather data and hazard mapping. 1.2.2. Train communities (with separate sessions for men and women), CDCs, early warning volunteers and MRRD and MAIL district-level staff on monitoring, tracking and analysing weather data and hazard mapping. 1.2.3. Facilitate exchange visits for early warning volunteers and representatives from MRRD and MAIL district-level offices to observe good practices.</p>
<p>1.3. Gender-sensitive hazard and risk mapping and vulnerability assessments conducted in selected communities.</p>	<p>1.3.1. Undertake hazard and risk mapping of climate-induced disasters – e.g. flooding, landslides, avalanches, drought, winds, thunderstorms, fire and diseases – in selected communities. 1.3.2. Facilitate vulnerability assessments to identify the potential impacts of climate-induced disasters on vulnerable groups including men, women, the youth, the elderly, people with disabilities, patients, IDPs and marginalised groups. 1.3.3. Combine hazard and risk mapping, vulnerability assessments and OCHA data to develop climatic calendars detailing seasonal risks for selected communities, with a focus on flooding (during <i>Hoot to Jawza</i>) and droughts (during <i>Saratan to Sonbula</i>).</p>

**Component 2: Community-based early warning systems (CBEWS)**

**Total cost: USD 73,506,120**

**GEF Project Grant requested: USD 1,582,600**

**Co-financing: USD 71,923,520**

**Without LDCF intervention (baseline):**

At present, rural communities in Afghanistan – specifically those in the targeted provinces of Jawzjan and Nangarhar – are vulnerable to climate-induced disasters, in particular floods and droughts. As climate change intensifies, such climate-induced disasters will lead to increased losses and damages, including losses of lives, reduction in agricultural production and other livelihoods, and damage to economic and other critical infrastructure. There are currently few systems (particularly at the local, community level) for providing timely and accurate warning of impending climate-induced disasters.

Without LDCF intervention, communities will remain at-risk unable to take appropriate action in reducing their vulnerability to climate-induced disasters. There would remain little means of monitoring weather and climate data for prediction of climate-induced disasters. Moreover, there would be inadequate means of communicating warnings on climate-induced disasters to local communities, as well as to provincial- and district-level offices of ANDMA and other relevant government institutions. Consequently, responses to climate-induced disasters would remain inadequate. There would be insufficient planning for reduction of risks posed by climate-induced disasters, and rural communities will not have access to information and early warnings to underpin DRM actions such as preparedness, response and recovery measures.

**With LDCF intervention (project alternative):**

The proposed LDCF project will support the establishment of community-based early warning systems to build the capacity of vulnerable communities to plan for and respond to climate-induced disasters. Appropriate mechanisms for monitoring and analysis of climate data will be established to support climate prediction to manage risks. These mechanisms will comprise community-based early warning systems with associated communication channels for

generation and dissemination of early warnings concerning climate-induced disasters to vulnerable communities. In addition, communication channels be established between district-, provincial- and national-level offices of ANDMA and other relevant government institutions to ensure information-sharing and coordination concerning disaster planning and response. In addition, the proposed LDCF project will support the establishment of mechanisms for coordination of gender-sensitive responses to early warnings concerning climate-induced disasters. This will include the establishment of community-level organisations as well as development of operational DRR plans for coordination of planning and response to climate-induced disasters. Establishment of community-based early warning systems and associated operational DRR plans will build the capacities of targeted communities to plan for and respond to climate-induced disasters.

***Outcome 2: Community-based early warning systems established and effectively utilised by all vulnerable groups***

Component 2 would see the establishment and effective implementation of community-based early warning systems (CBEWS) in selected communities within the pilot provinces, linked to district-, provincial- and national-level institutions. Through Output 2.1, mechanisms will be established to ensure continuous monitoring and analysis of climate data to predict climate hazards. This will build on the training conducted under Output 1.2 and focus on climate-related hazards and risks identified through the hazard mapping and vulnerability assessments undertaken under Output 1.3. An assessment will be conducted on current and potential measures for monitoring of climate data to underpin a functioning EWS. Appropriate mechanisms will be identified and models with associated Standard Operating Procedures (SOPs) will be developed for the targeted communities.

Under Output 2.2, efficient and effective communication channels and procedures for disseminating early warnings to vulnerable groups will be established. Appropriate communication channels for bottom-up transmitting of climate data from community climate monitoring mechanisms to district-, provincial- and national-level offices of ANDMA, MAIL and MRRD will be identified. Horizontal and vertical information-sharing and coordination mechanisms for District Development Assemblies (DDAs), Provincial Disaster Management Committees (PDMCs) and national-level offices of ANDMA, MAIL and MRRD will also be established. Appropriate communication channels for top-down transmitting of early warnings and advisories on climate-induced disasters from the national level through PDMCs and DDMCs down to local communities will be identified. These communication channels will take into account the local geographical and socio-economic contexts to ensure that messages will reach vulnerable communities despite challenges such as rugged terrain, low literacy levels and limited access to radio and mobile phone signals.

Once communication channels and coordination mechanisms have been identified, SOPs for generating and disseminating early warnings to targeted communities will be developed. These SOPs will clearly outline the appropriate channels and content for warnings and advisories for different community members – including men, women, children, the youth, the elderly, people with disabilities, patients/those that require medical attention (particularly pregnant women and those in postpartum period) and marginalised groups such as *Kuchi* nomads and IDPs – based on their specific vulnerabilities and preferred means of receiving the messages. These communication channels will be tested on a quarterly basis to ensure that bottom-up – i.e. community- to national-level – and top-down – i.e. national- to community-level – messages are being successfully transmitted and received by the relevant stakeholders.

Output 2.3 will see the development of gender-sensitive response mechanisms to early warnings at the community level. This will include the establishment of Community Disaster Management Committees (CDMCs) based on existing stakeholder groups, for example, as sub-committees of CDCs and water user associations. The CDMCs will include representation of all vulnerable groups including men, women, the youth, the elderly, people with disabilities and indigenous peoples. Operational DRR plans will be developed to detail roles and responsibilities of community members in response to disasters identified through the gender-sensitive hazard and risk mapping and vulnerability assessments (Output 1.3). These DRR plans will include appropriate response actions to climate-induced disasters relating to *inter alia* first aid, evacuation routes, water and sanitation, emergency food supplies, etc. Training will be conducted through public gatherings and at schools on the operationalisation of the DRR plans with tailored training opportunities for men, women, the youth, the elderly, people with disabilities and members of marginalised groups such as *Kuchi* nomads. Finally, emergency drills and disaster response exercises (e.g. evacuations and first aid) will be conducted to ensure that community members are familiar with the appropriate responses under different

disaster scenarios. This will be linked to the testing of the CBEWS communication channels taking place under Output 2.2.

**Outputs and indicative activities under Component 2**

Outputs	Activities
2.1. Mechanisms established for continuous monitoring of climate hazards to generate accurate and timely early warnings.	2.1.1. Assess selected communities to identify appropriate mechanisms for continuous monitoring of relevant climate data – including precipitation, groundwater levels, temperature and humidity – based on the climate hazards, risks and vulnerabilities identified under Output 1.3. 2.1.2. Design a model and Standard Operating Procedures for continuous collection, validation and analysis of relevant climate data within the selected communities.
2.2. Efficient communication channels and procedures are established for issuing and disseminating early warnings to vulnerable groups.	2.2.1. Identify the most appropriate communication channels for transmitting climate data from community-level mechanisms to MAIL, ANDMA and MRRD offices at the district, provincial and national levels. 2.2.2. Establish information sharing and coordination channels for DDMCs and PDMCs as well as at the national level to ensure coordination between MAIL, ANDMA and MRRD staff at all levels. 2.2.3. Identify the most appropriate communication channels for transmitting early warnings and advisories on climate-induced disasters from the national level through DDMCs and PDMCs to local communities, taking into account the local environmental and socio-economic context (e.g. rugged terrain, literacy, access to radio or mobile phone signals). 2.2.4. Develop Standard Operating Procedures for generating and disseminating early warnings to selected communities detailing appropriate channels for different vulnerable groups (including men, women, the youth, the elderly and people with disabilities patients and people that need medical attention such as women in the postpartum period and pregnant women). 2.2.5. Test bottom-up and top-down communication channels and procedures for generating and disseminating early warnings to selected communities on a quarterly basis.
2.3. Effective, gender-sensitive community response mechanisms are developed.	2.3.1. Establish Community Disaster Management Committees based on existing stakeholder groups (e.g. as sub-committees of CDCs and WUAs) and ensuring representation of all vulnerable groups including men, women, the youth, the elderly and people with disabilities. 2.3.2. Develop operational DRR plans detailing roles and responsibilities in response to disasters identified through the gender-sensitive hazard and risk mapping and vulnerability assessments under Output 1.3. Operational plans should include <i>inter alia</i> appropriate actions relating to first aid, evacuation routes, assistance to children, pregnant women and other vulnerable community members, water and sanitation, emergency food supplies, etc. 2.3.3. Conduct training in each community focusing on the different vulnerabilities identified under Output 1.3 (including men, women, the youth, the elderly and people with disabilities, etc.) through public gatherings and at schools on the community-specific operational plans. 2.3.4. Undertake emergency drills and exercises based on different disaster scenarios to promote familiarity with the operational plans in each community (linked to the testing of CBEWS under Output 2.2).

**Component 3: Resilient livelihood opportunities**

**Total cost: USD 116,130,335**

**GEF Project Grant requested: USD 3,126,850**

**Co-financing: USD 113,003,485**

**Without LDCF intervention (baseline):**

The lives and livelihoods of rural communities in the targeted provinces of Jawzjan and Nangarhar remain at risk to the impacts of climate change, including both rapid-onset disasters (such as floods) and slow-onset disasters (such as droughts). Without LDCF intervention, these rural communities will remain vulnerable to such impacts of climate change. Droughts would result in losses suffered to farmers through reduced crop yields as well as to pastoralists through livestock deaths from insufficient supplies of water, forage and fodder. Floods and other extreme weather events would cause damages to economic assets as well as homes and community buildings. In many cases, loss of life would result from such extreme weather events.

In the absence of this project, many members of vulnerable communities would remain unable to adapt their livelihoods to the impacts of climate change, e.g. through adoption of climate-smart agricultural practices and/or alternative livelihood options. In addition, communities would not have the skills nor the access to resources to construct climate-resilient infrastructure to protect their lives and livelihoods from the impacts of climate-induced disaster events.

**With LDCF intervention (project alternative):**

With LDCF intervention, vulnerable rural communities in the targeted provinces would be supported in reducing the vulnerability of their lives and livelihoods to climate change. Community members would be trained to construct climate-resilient infrastructure – e.g. disaster/emergency shelters, evacuation centres and small-scale rural infrastructure – that would provide protection from climate change impacts. After this training, communities would be supported to construct such infrastructure in vulnerable areas to reduce exposure to climate-induced disasters. In addition, building the resilience of vulnerable livelihoods would be supported. Livelihood diversification would be promoted – with a specific focus on women and other vulnerable population groups – to support production of high-value products for alternative income generation. Furthermore, climate-resilient agricultural technologies and techniques will be promoted to address the specific climate risks posed to agricultural livelihoods of the targeted communities.

***Outcome 3: Climate-resilient livelihoods focusing on vulnerable groups are implemented in selected communities***

Under Component 3, climate-resilient livelihood options will be identified and implemented to address the impacts of climate change on vulnerable groups within the targeted communities. Firstly, to address their need to adapt their residence Output 3.1, introduces climate-resilient habitats and emergency shelters in selected communities. The project will develop appropriate designs for climate-resilient habitats and disaster/emergency shelters for multiple purposes. For example, disaster/emergency shelters will be designed to serve as first aid clinics and emergency evacuation centres, taking into account the needs of different groups during disasters (e.g. with separate spaces for men and women, patients and those people that need medical attention). Communities will be trained on the design principles for these climate-resilient habitats/emergency shelters, following which they will be involved in the construction of such habitats and shelters through a “learning-by-doing” approach. In addition, small-scale rural infrastructure such as check dams and terracing will be constructed that will reduce the risk of losses and damages caused by climate-induced disaster events (such as floods, flash floods and associated landslides). The small-scale infrastructure will be guided by the hazard and risk mapping conducted under Output 1.3. Gender risk assessments will be conducted to ensure construction of infrastructure does not pose an adverse gender impact or increases women’s exposure to risk.

Under Output 3.2, livelihood diversification will be promoted with a focus on the empowerment of women and the youth within targeted communities. Women-headed and elderly-headed households will constitute priority target groups for livelihood diversification. Market assessments will be undertaken to identify high-value products with a sustainable demand that are suitable for alternative income-generating activities. To support rural livelihoods, climate-resilient agricultural technologies will be promoted based on the climate risks identified under Output 1.3 as well as the market opportunities. Examples of such technologies include drought- and salt-resistant crop varieties, greenhouse and tunnel farming, drip irrigation and improved post-harvest storage. Finally, alternative income-generating and value-addition activities will be promoted to diversify livelihood options. These activities will be



guided by the market assessment, and are likely to include crop processing, packaging of agricultural commodities. . In particular, climate-resilient practices relevant to *Kuchi* nomads will be promoted, e.g. dairy farms, wool factories, organic cotton and improved animal husbandry. Each livelihood diversification intervention will be assessed from a gender perspective to ensure it has the potential to promote gender equality and/or women’s empowerment. Gender assessment will also closely study any potential adverse gender impact of economic interventions such as unpaid women labour and child employment.

**Outputs and indicative activities under Component 3**

Outputs	Activities
3.1. Climate-resilient habitats and emergency shelters are built in selected communities.	3.1.1. Design climate-resilient habitats and disaster/emergency shelters that can serve multiple purposes before and during disasters (e.g. schools, clinics, emergency evacuation centres), taking into account the needs of different groups during disasters (e.g. men, women, children, the elderly, and patients). 3.1.2. Train communities on building climate-resilient habitats, emergency shelters and protective, small-scale rural infrastructure. 3.1.3. Construct climate-resilient habitats and emergency shelters. 3.1.4. Construct protective, small-scale rural infrastructure to reduce the risk of losses and damages during climate-induced disaster events.
3.2. Livelihood diversification – with a focus on women and youth empowerment – is promoted.	3.2.1. Undertake a market assessment to identify opportunities for high-value products that have a sustainable demand. 3.2.2. Promote climate-resilient agricultural techniques (e.g. drought- and salt-resistant varieties, greenhouse/tunnel farming, drip irrigation, post-harvest storage) based on the climate risks identified under Output 1.1.3 as well as the demand identified through the market assessment. 3.2.3. Promote alternative income-generating activities and value-addition activities to diversify livelihood options.

**Component 4: Institutional strengthening and regional knowledge sharing**

**Total cost: USD 14,971,540**

**GEF Project Grant requested: USD 322,340**

**Co-financing: USD 14,649,200**

**Without LDCF intervention (baseline):**

Without LDCF intervention, capacity within government institutions at all levels for integrating climate risks and opportunities into sub-national planning and decision-making processes would remain inadequate to address current and future threats of climate change. At the national level, there would be insufficient knowledge of adaptation options to address the climate vulnerabilities of Afghan communities within the framework of the national development objectives of the country. National DRM strategies and plans would not adequately address the impacts of climate-induced disasters, nor would there be sufficient knowledge of the costs and benefits involved in adapting to climate change to inform planning and budgeting. At the sub-national level, development planning would not integrate gender-sensitive responses to climate change within provincial, district and community planning frameworks. This would result in inadequate consideration of climate change in planning across all levels, and thus would lead to perpetuation of current vulnerabilities of rural communities.

At present, there is insufficient access to climate finance to address the adaptation needs of Afghanistan. This is partly owing to inadequate knowledge and capacity within GoIRA on climate change programming and financing. Without LDCF intervention, relevant government institutions – and particularly the Climate Change Unit within NEPA – would remain unable to formulate policies to address climate change impacts, nor would they be able to access finance to implement policies and strategies for adapting to climate change. Moreover, policy- and decision-makers within NEPA, MRRD, MAIL, ANDMA and the Afghanistan Meteorological Agency (AMA) would have inadequate access to knowledge on climate change and DRR to inform strategic level decision-making and formulation of climate change response strategies.

**With LDCF intervention (project alternative):**

Under the proposed project, LDCF financing would be used to develop capacity within relevant government institutions for integration of climate change concerns into planning and decision-making. Gender-sensitive adaptation options would be identified for setting of climate-resilient development objectives at the national-level. Moreover, provincial- and community-level plans would be revised to integrate gender-specific adaptation responses to address climate-induced disaster risks. This would be complemented by capacity development within the NEPA Climate Change Unit to improve technical skills and knowledge on climate change policy-making and access to climate finance for addressing adaptation objectives. Training would be provided to policy- and decision-makers within government institutions such as NEPA, MRRD, MAIL, ANDMA and AMA on integration of climate risks into development planning in the medium to long term. This would be complemented by improved access to climate change and DRR knowledge through training and knowledge sharing. Such capacity development, training and knowledge sharing would support strategic-level policy formulation and decision-making concerning climate-resilient development planning at all levels.

***Outcome 4: Strengthened institutional capacities to integrate climate risks and opportunities into national and provincial development plans, policies, budgetary allocation and implementation mechanisms***

Component 4 will see improved capacity within government institutions for integrating climate risks and opportunities into sub-national planning and decision-making processes. Under Output 4.1, gender-sensitive adaptation objectives at the national level will be set and various adaptation options identified and evaluated. This will be based on a stock-taking of current and future climate risks to identify national-level adaptation objectives. Appropriate adaptation options will be identified for inclusion into relevant DRM strategies for MRRD, ANDMA and MAIL as well as the Afghanistan Climate Change Strategy and Action Plan (ACCSAP) and the Citizen Charter. These adaptation options will then be included in sectoral cost-benefit analyses and costing to determine efficient and sustainable adaptation options and include appropriate consideration in governmental budgetary allocations. Assessment will be made to ensure adaptation options do not have adverse effects on women's lives.

Under Output 4.2, Provincial Climate Action Plans and Community Development Plans will be revised to fully integrate gender-appropriate responses to climate risks. Firstly, training will be provided on the integration of gender-specific climate change adaptation and DRM considerations into provincial-, district- and community-level planning processes for MAIL, MRRD and ANDMA as well as inclusion in planning for CDCs, DDAs and PDCs. Following this, gender-sensitive Provincial Climate Action Plans will be formulated for Jawzjan and Nangarhar Provinces. These will be based on downscaled climate change models developed under the LDCF-2 project "Strengthening the Resilience of Rural Livelihood Options for Afghan Communities to Manage Climate Change-induced Disaster Risks". The Provincial Climate Action Plans will outline climate-resilient development opportunities within key sectors that will be used to inform annual revisions of Provincial Development Plans, as well as for planning by MAIL, MRRD, ANDMA and other ministries/government agencies at the sub-national levels. Furthermore, Community Development Plans (for 60 targeted communities) will be formulated that integrate climate change and DRM considerations into development planning. For example, design considerations for climate-resilient habitats and disaster/emergency shelters (Output 3.1) will be mainstreamed into planning processes within Community Development Plans. The formulation of climate-resilient Provincial Climate Action Plans and Community Development Plans will take into account the different climate vulnerabilities of men, women, the youth, the elderly, people with disabilities and members of marginalised groups such as *Kuchi* nomads, internally displaced persons and refugees.

Under Output 4.3, technical capacity development will be undertaken in the NEPA Climate Change Unit on climate change policy and financing. Training materials will be developed in Dari and Pashto on undertaking climate change vulnerability and impact assessments for various sectors and different vulnerable groups, as well as how to develop appropriate adaptation measures to address climate change risks. Policy briefs and recommendations on addressing climate change within relevant sectors incorporating the various needs of vulnerable groups including men, women,

the youth, the elderly, people with disabilities and marginalised groups such as *Kuchi* nomads will be formulated. These will be disseminated through training workshops for the NEPA Climate Change Unit.

Under Output 4.4, policy- and decision-makers within MRRD, MAIL, ANDMA, Afghanistan Meteorological Agency and the NEPA Climate Change Unit will be trained on processes and methodologies to integrate climate into medium and long-term development planning. This will include sharing of climate change and DRR knowledge and information amongst senior representatives of these organisations to aid strategic level decision-making and formulation of climate change response strategies. In addition, on-the-job training will be undertaken for policy- and decision-makers in relevant sectors such as agriculture, integrated water resource management, DRR, etc.

Finally, lessons learned and best practices from project activities will be shared across the region through the Heart of Asia – Istanbul Process and other regional knowledge-sharing opportunities under Output 4.5. ANDMA and the NEPA Climate Change Unit will be supported to promote regional knowledge sharing on successful approaches to planning and implementation of climate change adaptation and DRR measures. Results and information from this project and other national initiatives on climate change adaptation will be collated and synthesised. These lessons learned and best practices will then be exchanged and shared with regional counterparts through the Heart of Asia – Istanbul Process and other knowledge-sharing mechanisms.

#### **Outputs and indicative activities under Component 4**

<b>Outputs</b>	<b>Activities</b>
4.1. Building on MRRD DRM and other relevant strategies, adaptation objectives at national levels are set, adaptation options are identified, and benefits are evaluated and costed.	4.1.1. Conduct stock-taking of current and future climate risks to identify gender-sensitive adaptation objectives at the national level. 4.1.2. Identify appropriate adaptation options to address national adaptation risks – based on national and international lessons learned and best practices – for inclusion into national DRM strategies for MRRD, ANDMA and MAIL as well as the Afghanistan National Peace and Development Framework and the Afghanistan Climate Change Strategy and Action Plan and the Citizen Charter. 4.1.3. Undertake sectoral cost-benefit analyses to determine efficient and sustainable gender-responsive adaptation options. 4.1.4. Undertake costing of selected adaptation options in each sector.
4.2. Provincial Climate Action Plans and Community Development Plans are formulated and revised to fully integrate gender-appropriate responses to climate risks	4.2.1. Conduct training workshops on integrating gender-specific climate change adaptation and gender-sensitive DRM considerations into provincial, district and community development planning processes for MAIL, MRRD and ANDMA as well as in CDC, DDA and PDP planning processes. 4.2.2. Support the formulation of gender-sensitive Provincial Climate Action Plans and Community Development Plans integrating climate change and DRM considerations. 4.2.3. Facilitate annual revisions of Provincial Development Plans – based on the Provincial Climate Action Plans – to mainstream climate change and adaptation measures into provincial-level development planning.
4.3. Technical capacity building on climate change policy and financing in NEPA Climate Change Unit is undertaken.	4.3.1. Develop training materials on the cause, effects and impacts of climate change on different vulnerable groups as well as appropriate adaptation measures for relevant sectors. 4.3.2. Formulate policy briefs and recommendations on addressing climate change within relevant sectors incorporating the various needs of vulnerable groups including men, women, the youth, the elderly and people with disabilities. 4.3.3. Conduct workshops to disseminate policy briefs and recommendations as well as provide training on climate change adaptation for NEPA Climate Change Unit.
4.4. Policy-makers in MRRD, MAIL, ANDMA, Afghan Met Office, NEPA CC Unit are trained on processes and methodologies to	4.4.1. Promote knowledge sharing of climate change and disaster knowledge among senior level employees of relevant stakeholders for strategic-level decision-making.

integrate climate into medium and long-term development planning in Afghanistan	4.4.2. Provide on-the-job training for policy- and decision-makers in relevant sectors to integrate climate change adaptation into medium- and long-term development planning.
4.5. Lessons and best practices of project results are shared through regional mechanisms (eg. Heart of Asia – Istanbul Process and other processes)	4.5.1. Establish unit within NEPA to promote regional knowledge sharing on successful approaches in adaptation planning and implementation. 4.5.2. Collate and synthesise results and information from this project and other national initiatives to detail lessons learned and best practices on climate change adaptation. 4.5.3. Exchange lessons learned and best practices with regional counterparts through the Heart of Asia-Istanbul Process and other knowledge-sharing mechanisms.

ii. Partnerships:

Key project partners are outlined in the table below.

Outcomes	Outputs	Stakeholders
<b>Outcome 1: Decision-making and implementation of gender-sensitive climate-induced disaster risk reduction measures in selected communities enhanced.</b>	1.1. Gender-sensitive awareness raising undertaken in communities, CDCs and MAIL and MRRD district-level offices on the need to integrate climate information into DRM and planning efforts.	<ul style="list-style-type: none"> <li>• CDCs, women’s cooperatives &amp; <i>shuras</i></li> <li>• MAIL, MRRD, ANDMA, MoWA</li> <li>• INGOs/NGOs/CSOs</li> </ul>
	1.2. Training provided to communities, CDCs, early warning volunteers (men and women) and MRRD and MAIL district-level offices on monitoring, tracking and analysing weather data and hazard mapping.	<ul style="list-style-type: none"> <li>• CDCs, women’s cooperatives &amp; <i>shuras</i></li> <li>• MAIL, MRRD, ANDMA, MoEW</li> <li>• NGOs/CSOs</li> </ul>
	1.3. Gender-sensitive hazard and risk mapping and vulnerability assessments conducted in selected communities.	<ul style="list-style-type: none"> <li>• CDCs, women’s cooperatives &amp; <i>shuras</i></li> <li>• MAIL, MRRD, ANDMA, MoWA</li> <li>• NGOs/CSOs</li> <li>• Independent Directorate for <i>Kuchis</i> (IDK)</li> <li>• Kabul University</li> </ul>
<b>Outcome 2: Community-based early warning systems established and effectively utilised by all vulnerable group.</b>	2.1. Mechanisms established for continuous monitoring of climate hazards to generate accurate and timely early warnings.	<ul style="list-style-type: none"> <li>• CDCs, women’s cooperatives &amp; <i>shuras</i></li> <li>• MAIL, MRRD, ANDMA, MoEW</li> <li>• NGOs/CSOs</li> </ul>
	2.2. Efficient communication channels and procedures are established for issuing and disseminating early warnings to vulnerable groups.	<ul style="list-style-type: none"> <li>• CDCs, women’s cooperatives &amp; <i>shuras</i></li> <li>• MAIL, MRRD, ANDMA, MoEW</li> <li>• IDK</li> <li>• NGOs/CSOs</li> </ul>
	2.3. Effective, gender-sensitive community response mechanisms are developed.	<ul style="list-style-type: none"> <li>• CDCs, women’s cooperatives &amp; <i>shuras</i></li> <li>• MAIL, MRRD, ANDMA, MoEW, MoWA</li> <li>• IDK</li> <li>• NGOs/CSOs</li> </ul>
<b>Outcome 3: Climate-resilient livelihoods focusing on vulnerable groups are</b>	3.1. Climate-resilient habitats and emergency shelters are built in selected communities.	<ul style="list-style-type: none"> <li>• CDCs, women’s cooperatives &amp; <i>shuras</i></li> <li>• MRRD</li> </ul>

implemented in selected communities		<ul style="list-style-type: none"> <li>• NGOs/CSOs</li> </ul>
	3.2. Livelihood diversification – with a focus on women and youth empowerment – is promoted.	<ul style="list-style-type: none"> <li>• CDCs, women’s cooperatives &amp; <i>shuras</i></li> <li>• MAIL</li> <li>• IDK</li> <li>• MRRD</li> <li>• NGOs/CSOs</li> <li>• Kabul University</li> </ul>
<b>Outcome 4: Strengthened institutional capacities to integrate climate risks and opportunities into national and provincial development plans, policies, budgetary allocation and implementation mechanisms.</b>	4.1. Building on MRRD DRM and other relevant strategies, adaptation objectives at national levels are set, adaptation options are identified, and benefits are evaluated and costed.	<ul style="list-style-type: none"> <li>• MAIL, MRRD, ANDMA, NEPA, MoEW, MoWA</li> <li>• International development partners</li> <li>• Kabul University</li> </ul>
	4.2. Provincial Climate Action Plans and Community Development Plans are formulated and revised to fully integrate gender-appropriate responses to climate risks	<ul style="list-style-type: none"> <li>• MAIL, MRRD, ANDMA, NEPA, MoEW, MoWA</li> <li>• CDCs, DDAs and PDCs</li> </ul>
	4.3. Technical capacity building on climate change policy and financing in NEPA Climate Change Unit is undertaken.	<ul style="list-style-type: none"> <li>• NEPA</li> <li>• Kabul University</li> </ul>
	4.4. Policy-makers in MRRD, MAIL, ANDMA, MoEW, AMA, NEPA CC Unit are trained on processes and methodologies to integrate climate into medium and long-term development planning in Afghanistan	<ul style="list-style-type: none"> <li>• MAIL, MRRD, ANDMA, NEPA, MoEW, MoWA</li> <li>• Kabul University</li> </ul>
	4.5. Lessons and best practices of project results are shared through regional mechanisms (e.g., Heart of Asia – Istanbul Process and other processes)	<ul style="list-style-type: none"> <li>• MAIL, MRRD, ANDMA, NEPA, MoEW, MFA</li> <li>• International development partners</li> </ul>

In addition, the project will be implemented in coordination with the following initiatives:

- **LDCF-1: Building adaptive capacity and resilience to climate change in Afghanistan.** These two projects (LDCF1 and this project) will be strongly coordinated through frequent meetings of project partners, as well as the fact that many partners are members of the Project Steering Committees (PSC) of both projects. Lessons learned from the LDCF-1 project on community-based early warning systems and climate-resilient livelihood options will be integrated into the on-the-ground implementation of activities under Components 2 and 3 of this project. In addition, the institutional capacity building under Component 4 will build on the work done under LDCF-1 relating to capacity building of the National Climate Change Committee.
- **LDCF-2: Strengthening the Resilience of Rural Livelihood Options for Afghan Communities to Manage Climate Change-induced Disaster Risks.** These projects will have strong coordination owing to shared PSC members and the strong alignment of the project objectives. In addition, it is anticipated that there will be regular meetings between project stakeholders to align the implementation of project activities. The thematic similarity of the two projects (particularly concerning climate-resilient livelihoods and DRR) will allow for sharing of lessons learned during the implementation of LDCF-2. In particular, downscaled climate change models for each of the provinces – developed under the LDCF-2 project – will be used to inform the formulation of Provincial Climate Action Plans (Outcome 4 of this project).
- **LDCF-3: Building resilience of communities living around the Northern Pistachio Belt and Eastern Forest Complex of Afghanistan through an Ecosystem-based Adaptation approach.** There is considerable potential for alignment of these two initiatives. Both projects will have activities implemented in Nangarhar Province, although in different districts. However, there is a clear thematic difference in that the LDCF-4 project has a focus on ecosystem-based adaptation, while this project has a greater focus on DRR measures. At the sub-national level, there will be an opportunity for exchange of lessons learned related to climate-resilient livelihood options. At the national level, the projects will prove complementary in the institutional and technical capacity-building activities focused on policy- and strategy-level work to include climate change adaptation into decision-making processes.

The project will also coordinate with several other on-going non-GEF adaptation-related projects. The coordination will take place through the Disaster Risk Reduction (DRR) working group, the UNDAF and project board meetings:

- **Disaster Risk Reduction (DRR) working group** is comprised by WFP (Chair), FAO, IOM, OCHA, UNDP, UNEP, UN Habitat, UNICEF, UNOPS, UN Women, WHO, IFAD, World Bank and the Government of the Islamic Republic of Afghanistan. The DRR working group was established to support the development and implementation of a DRR framework in Afghanistan, enabling the Government to undertake disaster risk mapping, strengthen disaster risk reduction programming and projects, mainstreaming the disaster risk reduction and climate change in development framework at all levels including emergency management development processes, prioritize responses, and manage the coordination of such responses, promoting community resilience and the adequate inclusion of gender and social protection-related considerations.
- **United Nations Development Assistance Framework (UNDAF)**<sup>35</sup> of the Islamic Republic of Afghanistan describes the collective actions and strategies of the United Nations to the achievement of national development in Afghanistan:
- **Project Board** comprised by MAIL, NEPA, MEW, MRRD, ANDMA, AMA, MoWA and UNDP.

A list of relevant non-GEF projects that this LDCF project will coordinate with is included as follows:

- UNOPS on-going DRR JICA supported project focusing on a) new/renovated essential community infrastructure resilient to disaster and climate risk b) Reinforcement of 100 disaster resilience shelters and c) Awareness raising activities for community disaster risk and preparedness to multiple hazard.
- World Bank DRR project focusing on a) Multi-Peril Hazard and Risk Assessment b) Strengthening Early Warning System and c) and Institutional Capacity Building. These outputs are fully aligned with this LDCF project.
- ADB on-going Water Resource Development Investment Programme in Afghanistan. The Ministry of Agriculture, Irrigation and Livestock (MAIL) on-going non-GEF project in Ningarhar province focusing on Saffron extension to create alternative livelihoods for the farmers, men and women, to provide resilient livelihoods.

### iii. Stakeholder engagement:

The key project stakeholders will be members of local communities and indigenous peoples (particularly *Kuchi* nomads) within the project sites in Nangarhar and Jawzjan Provinces. The implementation of this project has been planned to include comprehensive stakeholder participation processes. At the community level, stakeholder engagement will be ensured through consultations with CDCs, men and women's *shuras*, women cooperatives, water user associations and other CBOs. This will be undertaken in such a manner that all vulnerable groups (men, women, the youth, the elderly, people with disabilities and marginalised groups) are engaged from the outset to support planning, prioritisation, design and implementation of project activities that are culturally acceptable and tailored to the environmental and socio-economic contexts within the recipient communities. The project design is thus based on a fully participatory approach for all stages of design, planning and implementation of project activities. Initial consultations will be undertaken to sensitise communities on the project objectives and activities, with a special focus on engaging with potentially marginalised groups (e.g. women, the youth, the elderly, internally displaced people, returnees, ethnic minorities). These consultations will form the basis for gender-sensitive hazard and risk mapping and vulnerability assessments (Output 1.3) that will guide all other project activities. This process will ensure that detailed, site-specific and gender-sensitive climate vulnerabilities and adaptation priorities are identified for all members of the targeted communities. On the basis of these assessments, gender-sensitive community response mechanisms for climate-induced disasters will be developed, once again through fully participatory and inclusive processes (Output 2.3). These plans will detail community-based response measures that take into account the vulnerabilities of all segments of the populations living in the project sites to climate-induced disasters. This approach of including community-based organisations such as CDCs and women's *shuras* in design and implementation is currently considered to a best practice in Afghanistan to ensure that project activities are responsive to the needs and priorities of all vulnerable groups.

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<sup>35</sup> [ftp://ftp.fao.org/OSD/CPF/Countries/Afghanistan/UNDAF\\_2015-2019/UNDAF%20Narrative%20Final.pdf](ftp://ftp.fao.org/OSD/CPF/Countries/Afghanistan/UNDAF_2015-2019/UNDAF%20Narrative%20Final.pdf)

iv. Mainstreaming gender:

Historically, women in Afghan communities have limited power and involvement in planning and decision-making, particularly within traditional rural communities. Women also tend to have limited levels of literacy and education. This generally results in women having limited understanding of how and to what extent the risks posed by climate change impact their lives and livelihoods, as well as of appropriate adaptation measures to reduce their climate vulnerability. It is thus imperative that project integrates the different vulnerabilities and priorities of men and women into community-level interventions in a culturally-sensitive manner. Gender responsiveness has consequently been a primary concern during the design of project activities.

Project interventions at the community level will engage with women's *shuras* and cooperatives and other relevant groups (e.g. women-specific CBOs/NGOs) to obtain insights into women's climate vulnerabilities and adaptation needs. This will also support the adequate representation of women in decisions pertaining to their livelihood options. The project also specifically includes the involvement of women in and their participation facilitated in a number of activities specifically designed to addressing their climate vulnerabilities. Women will be included in training activities, planning processes and on-the-ground interventions. For example, the promotion of climate-resilient livelihoods and the operationalisation of community-based early warning and disaster response mechanisms will all be designed to differentiate between the needs of various vulnerable groups such as men, women, the youth, the elderly, patients and those that need medical attention (pregnant women and those in postpartum period), people with disabilities, internally displaced people and marginalised groups (e.g. *Kuchis*). This will ensure that project interventions are tailored to meet the needs of all groups, while remaining sensitive to cultural and traditional values. The project's M&E and results-based management frameworks include gender-specific indicators and considerations to ensure that progress towards gender-responsive targets is adequately considered; as such collection of sex-disaggregated data will be systematised within the overall project management framework. The project has thus fully integrated gender considerations into all relevant activities while remaining sensitive to traditionally-held customs and practices. Specific project activities that target women include *inter alia*:

- gender-sensitive awareness raising on integrating climate information into DRM planning (Output 1.1);
- gender-specific training on monitoring, tracking and analysing weather data (Output 1.2);
- gender-sensitive hazard and risk mapping and vulnerability assessments (Output 1.3);
- gender-sensitive community response mechanisms to climate-induced disasters (Output 2.3);
- livelihood diversification with a focus on women and youth empowerment (Output 3.2); and
- integration of gender-appropriate responses to climate risks within Provincial Climate Action Plans, Provincial Development Plans and Community Development Plans (Output 4.2).

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## V. FEASIBILITY

i. Cost efficiency and effectiveness:

Cost-effectiveness was a core design principle for the LDCF project. The project objective is to enhance preparedness and resilience of targeted communities to climate-induced disaster risks, which will be done by investing in measures that have proven to be cost-effective in building adaptive capacity to climate change. These measures include: i) building capacity for integration of climate risks into community-level planning; ii) strengthening community-based early warning systems; iii) promoting climate-resilient and diversified livelihoods; and iv) strengthening institutional capacity for integration of climate risks into national- and provincial-level development plans, policies and budgets. The cost-effectiveness of key project interventions has been described below.

### **Preparedness for climate-induced disasters**

Project interventions include capacity building and training at the national and local levels to strengthen capacity for planning and implementation of DRR measures. These capacity-building and training activities will include a strong

focus on disaster preparedness and prevention. Such proactive approaches to improving disaster preparedness have been demonstrated to be more cost-effective than restricting DRR interventions to response and recovery measures<sup>36</sup>, generating positive benefit-to-cost ratios. For example, cost-benefit analyses show that DRR measures can result in benefits more than three times greater than the investment.<sup>37</sup> The financial benefits of investment into DRR measures thus exceed costs associated with responding climate-induced disasters.

### Community-Based Early Warning Systems

The project will operationalise CBEWS in relevant districts of two provinces, covering an estimated 2,000 households (to be confirmed during the inception phase). The design of the CBEWS will be guided by affordability, ease of maintenance, simplicity of use, sustainability and gender sensitivity. Local community members, CDCs, early warning volunteers and district-level officials will be trained to operate and maintain the CBEWS systems. This is cost-effective as investments into EWS to improve preparedness for and prevention of disasters are more efficient than similar levels of spending on disaster recovery and relief.<sup>38</sup> Moreover, the benefits related to generation and dissemination of early warnings for severe weather events greatly exceed the associated costs. For example, the Regional Integrated Multi-Hazard Early Warning System for Africa and Asia estimated a cost-to-benefit ratio of more than US\$550 over 10 years for the severe floods experienced in Bangladesh in 2007. Average benefit-to-cost ratios of EWS for developing countries are estimated to range between 4 and 36.<sup>39</sup>

### Climate-resilient and diversified livelihoods

Strengthening livelihoods options for local communities protects household income, resulting in households being less vulnerable to climate-induced disasters and also able to recover from disasters better. The promotion of sustainable and climate-resilient livelihood options allows local communities to improve their income and savings, catalysing further investment in productive livelihoods. Improved savings strengthens the capacity of local community members to recover from climate disasters upon their eventual occurrence. Furthermore, promoting growth within the agricultural sector is twice as effective as growth in other sectors for achieving poverty alleviation<sup>40</sup> and are more cost-effective than investments into roads or rural infrastructure for increasing household income.<sup>41</sup>

#### ii. Risk Management:

As per standard UNDP requirements, the Project Manager will monitor risks quarterly and report on the status of risks to the UNDP Country Office. The UNDP Country Office will record progress in the UNDP ATLAS risk log. Risks will be reported as critical when the impact and probability are high (i.e. when impact is rated as 5, and when impact is rated as 4 and probability is rated at 3 or higher). Management responses to critical risks will also be reported to the GEF in the Annual Project Implementation Report (PIR).

Project risks					
Description	Type	Impact & Probability	Mitigation Measures	Owner	Status

<sup>36</sup> Shyam, K.C. 2012. Cost Benefit Studies on Disaster Risk Reduction in Developing Countries. EAP DRM Knowledge Notes. Working Paper Series No. 27.

<sup>37</sup> White, B.A., & Rorick, M.M. 2010. Cost-benefit analysis for community-based disaster risk reduction in Kailai, Nepal. Available at: [http://www.mercycorps.org/sites/default/files/mc-cba\\_report-final-2010-2.pdf](http://www.mercycorps.org/sites/default/files/mc-cba_report-final-2010-2.pdf). Accessed on 26 March 2014.

<sup>38</sup> Healy, A. and Malhotra, N. 2009. Myopic Voters and Natural Disaster Policy. *The American Political Science Review* 103(3): 387-406.

<sup>39</sup> Tsirkunov, V. and Rogers, D. 2010. Costs and benefits of early warning systems. Global Assessment report on Disaster Risk Reduction. The World Bank.

<sup>40</sup> Ligon, E. & Sadoulet, E. 2007. *Estimating the Effects of Aggregate Agricultural Growth on the Distribution of Expenditures*. Background Paper for the World Development Report.

<sup>41</sup> Oehmke, J.F. 2012. *Impacts of USAID-supported Agricultural Programs on Household Income Growth and Cost-Effectiveness for Poverty Reduction*. USAID Policy Brief.



Project sites in contested areas of the ongoing insurgency may not be fully accessible during project implementation, delaying or preventing project implementation	Operational / Political	P: 4 I: 4	UN-DSS and MOSS procedures will be followed at all times to ensure safety and security of project staff The project will follow NIM modality and work through local contractors (e.g. NGOs) who have a track record and are accepted within targeted communities. Strong coordination will be maintained with district and provincial governance structures to ensure their support. Project sites will be re-assessed during the project inception phase and project activities moved to more secure districts if necessary. CDCs will be used for implementation as far as possible to promote ownership and reduce security threats to outside staff.		
Women, youth and minority groups in more conservative communities may be marginalised in their participation in project activities	Operational	P: 3 I: 3	The project will work through local institutions (women's <i>shuras</i> and cooperatives, CBOs, CSOs, NGOs) to ensure full participation of women, youth and other marginalised groups in decision-making and implementation as far as possible, within culturally acceptable limits. In more conservative communities, separate DRR committees will be established for women and marginalised groups, with clear channels of communication between these and other DRR committees.		
Poor coordination between stakeholders at national and provincial/district levels	Organisational	P: 2 I: 2	Engagement with partners at all levels will commence with sensitisation of the relevant roles of all other partners "Project champions" with good relationships with other stakeholders will be identified to facilitate coordination between institutions Strong coordination will be fostered through both formal and informal engagement with members of the PSC, PMU and other partners		
Extreme climate events may disrupt project activities and/or damage infrastructure	Environmental	P: 3 I: 3	Monitoring of climate variables will be undertaken at all times to ensure that activities are implemented during periods of least risk Placement of project activities (e.g. emergency shelters and livelihood activities) will be within areas of least risk		
Limited political support for the project	Political	P: 2 I: 2	Regular coordination meetings will be held with government partners		

			in relevant agencies and ministries to keep them updated and engaged in project activities Community-level coordination will be facilitated by engagement with all relevant local institutions		
Rapid turnover of staff in relevant institutions hamper continuity	Organisational	P: 3 I: 1	Established government and other implementation structures will be used to build on existing and familiar processes Alternative representatives will be identified within all relevant institutions and briefed on project progress at regular intervals		

iii. Social and environmental safeguards:

Environmental and social grievances will be reported to the GEF in the annual PIR.

iv. Sustainability and Scaling Up:

Identification and mainstreaming of adaptation options undertaken through Component 4 of the project is expected to lead to project activities being sustained beyond the lifetime of the project as well as the approaches being demonstrated through the project being up-scaled to other provinces/districts within Afghanistan.

The emphasis on improving local-level planning and implementation on DRR and climate change adaptation (Component 1) will strengthen the capacity of local communities and district-level officials to plan for and implement measures for climate change adaptation beyond the project lifespan. This is complemented by the formulation of PDPs and DDPs that specifically integrate climate change considerations (Component 4), further promoting ongoing planning and implementation of climate-resilient interventions after project completion.

Through supporting climate-resilient livelihoods and diversified income-generating opportunities, participating communities will have access to greater financial means from their increased income (Component 3). Coupled with awareness-raising and training on integrating climate change into local-level actions (Component 1), these activities will promote a sustainable cycle whereby households with improved income are able to use their returns to further invest in their livelihoods. This is expected to be sustainable long beyond the project implementation period, as continued improvements in livelihoods and income will lead to re-investment and thus continuing gains. Moreover, livelihood activities conducted within the project sites can be easily replicated with minimal input costs by neighbouring (non-participating) communities. This is likely to lead to scaling up of project activities outside of the project areas.

The lessons learned and best practices from this project and other initiatives will be collated and communicated through the Istanbul Process and other regional knowledge-sharing opportunities. Not only will this promote upscaling of project activities in other countries within the region, but it may also catalyse further investments for scaling up project activities in a nationwide approach. Lessons learned will inform detailed documentation of the benefits of diversifying community livelihoods to build climate resilience, with a focus on successes of empowering women and the youth in participating in livelihood activities.

The close involvement of government institutions and departments in the project's development and implementation promises potential for future incorporation of its approaches into on-going planning and strategies. Additionally, it is expected that the strengthening of capacities among main government stakeholders will enable continued mainstreaming of climate considerations into sectoral planning and decision-making. Furthermore, the extensive training and capacity building of local communities and technical staff regarding adaptation interventions

– such as CBEWS and diversified livelihood options – will align future activities that are climate-resilient as demonstrated by this project’s adaptation interventions. In so doing, project interventions are more likely to be replicated and/or up-scaled.

There is also potential for replication of the livelihood diversification interventions both national and internationally. These interventions may be quite easily replicated in other villages with relatively small investment, especially since such interventions will be implemented through experienced national NGOs. Such replication would be able to build on and leverage from ongoing initiatives supported by development partners to enhance the livelihoods of agriculturally active households as well as those involved in handicraft production. There is similarly potential for replication in other countries through the sharing of lessons learned across the region.

The sustainability of the LDCF project interventions is dependent on the willingness of stakeholders to accept responsibility for supporting these interventions after completion of the project period. This will also require long-term political and financial commitment of policy- and decision-makers to create enabling environments for scaling up of successful adaptation measures. Adequate technical, legal and institutional capacity is required at all levels for sustainability.

The requisite capacity will be strengthened in the following ways:

- building awareness and understanding of the risks posed by climate-induced disasters;
- revising policies and strategies to mainstream DRM and promote development planning (particularly at the local level) that is climate-resilient;
- strengthening the institutional and technical capacity of national and sub-national authorities in planning and implementing DRR measures;
- involving local communities in decision-making and implementation; and
- providing options that support livelihoods and reduce losses while being affordable to local communities.

v. Economic and/or financial analysis:

N/A

## VI. PROJECT RESULTS FRAMEWORK

This project will contribute to the following Sustainable Development Goal (s): <i>Climate Action</i>					
This project will contribute to the following country outcome included in the UNDAF/Country Programme Document: <i>UNDAF Outcome 1/CPD Outcome 3. Economic growth is accelerated to reduce vulnerabilities and poverty, strengthen the resilience of the licit economy and reduce the illicit economy in its multiple dimensions.</i>					
This project will be linked to the following output of the UNDP Strategic Plan: <i>Output 5.3: Gender responsive disaster and climate risk management is integrated in the development planning and budgetary frameworks of key sectors (e.g. water, agriculture, health, education)</i>					
	Objective and Outcome Indicators	Baseline	Mid-term Target	End of Project Target	Assumptions
Project Objective: The objective of the project is to improve the preparedness and resilience of selected Afghan communities to climate-induced disaster risks	<i>Number of provinces with operational early warning and data information management systems</i> [CPD Output 8 Indicator 8.1]	0	Unchanged from baseline	2	All climate-resilient measures supported through the project – plans, strategies, policies, programmes and budgets – are implemented by MAIL.
	<i>Number of Provincial Climate Action Plans that explicitly outline measures for integration of climate-induced disaster risk management into provincial development planning</i> [IRRF Output 5.3 Indicator 5.3.1]	0	Unchanged from baseline	2 Provincial Climate Action Plans (one each for Jawzjan and Nangarhar)	
	<i>Number of direct project beneficiaries (% female)</i> [GEF Tracking Tool Indicator 1]	0	3,000 (50% female)	15,000 (50% female)	
Component/Outcome 1: Decision-making and implementation of gender-sensitive climate-induced disaster risk reduction measures in selected communities enhanced.	<i>Number of public awareness activities carried out and size of population reached (disaggregated to reflect gender and other marginalised groups)</i> [Adapted from GEF Tracking Tool Indicator 5]	0	2 provincial-level public awareness activities (1 per province) 2,000 people reached (50% female) Climate change-related content reaching at least 18,000 people on social media (10% women)	6 public awareness activities (3 per province, at least 1 targeting <i>Kuchi</i> nomads) 7,000 people reached (50% female) Climate change-related content reaching at least 18,000 people on social media (10% women)	Public awareness-raising activities that are successful in reaching the target audiences and are easily understood will improve the capacity of community members to plan and implement DRR measures. Security risk mitigation measures allow successful undertaking of risk and vulnerability assessments.  Provincial-, district- and community-level stakeholders use the results of the risk/vulnerability assessments
	<i>Number of district-level risk and vulnerability assessments and hazard</i>	0	6 (one per district)	6 (one per district)	

	<i>mapping carried out to identify climate risks/hazards and community vulnerabilities</i> [Adapted from GEF Tracking Tool Indicator 6]				to integrate climate change concerns into their everyday activities.
	<i>Number of local government officials use hazard maps for informed decision making (% female)</i>	0	50 (20% female)	200 (20% female)	Community members regularly undertake monitoring, tracking and analysis of weather data to inform their DRR planning.
Component/Outcome 2: Community-based early warning systems established and effectively utilised by all vulnerable groups	<i>Number of people with access to improved, climate-related early-warning information (disaggregated to reflect gender, youth and other marginalised groups)</i> [Adapted from GEF Tracking Tool Indicator 8]	0	3,500 people (50% women)	14,000 people (50% women)	Community members will take heed of the early warning advisories and take the necessary measures – including those that form part of the operational DRR plans – to prepare for disasters and ensure their safety.
	<i>Number of quarterly tests conducted of bottom-up and top-down communication channels and procedures for early warnings in each community</i>	0	12 (two successful tests in each district)	60 (ten successful tests in each district)	The targeted communities implement agreed upon gender-sensitive operational plans.
	<i>Number of gender-sensitive, community-specific operational plans formulated and approved by CDC members</i>	0	30 (1 per community)	30 (1 per community)	
Component/Outcome 3: Climate-resilient livelihoods focusing on vulnerable groups are implemented in selected communities	<i>Number of households benefiting from the adoption of diversified, climate-resilient livelihood options (including climate-resilient agricultural techniques, improved irrigation, post-harvest storage, alternative income-generating and value-addition activities) with prioritisation for women- and elderly-headed households as well as Kuchi nomad communities (disaggregated to reflect gender, youth and other marginalised groups) [GEF Tracking Tool Indicator 3]</i>	0	100 households (50% women-headed households, 5% Kuchi)	1,000 households (50% women-headed households, 5% Kuchi)	Market demands for the products of the diversified livelihood practices remain stable, ensuring tangible adaptation and socio-economic benefits for participants.
	<i>Number of habitats, multi-purpose emergency shelters and small-scale rural infrastructure built/reinforced/incorporating new materials for enhanced climate resilience</i>	0	10 climate-resilient habitats, emergency shelters or infrastructure built by mid-term	20 climate-resilient habitats, emergency shelters or	District and provincial governance remain stable and supportive, allowing implementation of project activities. Beneficiaries continue to apply the approaches taught through project interventions in their everyday livelihood activities

				infrastructure built by end of project	Desired habitats and shelters are able to be constructed with the skills, materials and finances available
Component/Outcome 4: Strengthened institutional capacities to integrate climate risks and opportunities into national and provincial development plans, policies, budgetary allocation and implementation mechanisms	<i>Number of people (staff) trained to identify, prioritise, implement, monitor and evaluate adaptation strategies and measures (% female)</i> [Adapted from GEF Tracking Tool Indicator 9]	0	40 people trained (20% women)	160 people trained (20% women)	Trainees continue to apply the training in their everyday activities related to planning and implementing adaptation strategies and measures.
	<i>Sub-national plans and processes (Provincial Climate Action Plans and Community Development Plans) developed and strengthened to identify, prioritise and integrate adaptation strategies and measures including implementation budgets</i> [Adapted from GEF Tracking Tool Indicator 13]	0	Unchanged from baseline	2 revised Provincial Climate Action Plans (one each for Jawzjan and Nangarhar) 60 Community Development Plans for targeted communities	Political support for the formulation of climate-specific plans remains strong and provincial-/district-level government officials implement the plans after their formulation. Lessons learned / best practices are evident in the project activities and are easily collated
	<i>Number of lessons learned and best practices shared through regional processes (e.g. Heart of Asia – Istanbul Processes and other processes)</i>	0	2 lessons learned and best practices shared through regional processes	4 lessons learned and best practices shared through regional processes	Sufficient opportunities are realised for information sharing through regional processes

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## VII. MONITORING AND EVALUATION (M&E) PLAN

The project results as outlined in the project results framework will be monitored annually and evaluated periodically during project implementation to ensure the project effectively achieves these results.

Project-level monitoring and evaluation will be undertaken in compliance with UNDP requirements as outlined in the [UNDP POPP](#) and [UNDP Evaluation Policy](#). While these UNDP requirements are not outlined in this project document, the UNDP Country Office will work with the relevant project stakeholders to ensure UNDP M&E requirements are met in a timely fashion and to high quality standards. Additional mandatory GEF-specific M&E requirements (as outlined below) will be undertaken in accordance with the [GEF M&E policy](#) and other relevant GEF policies.

In addition to these mandatory UNDP and GEF M&E requirements, other M&E activities deemed necessary to support project-level adaptive management will be agreed during the Project Inception Workshop and will be detailed in the Inception Report. This will include the exact role of project target groups and other stakeholders in project M&E activities including the GEF Operational Focal Point and national/regional institutes assigned to undertake project monitoring. Gender-disaggregated data will be systematised within the overall project management framework and M&E activities will be gender-inclusive and participatory to ensure the voices of women are heard and environmental or cumulative impact assessment clarify the different impacts to men and women. The GEF Operational Focal Point will strive to ensure consistency in the approach taken to the GEF-specific M&E requirements (notably the GEF Tracking Tools) across all GEF-financed projects in the country. This could be achieved for example by using one national institute to complete the GEF Tracking Tools for all GEF-financed projects in the country, including projects supported by other GEF Agencies.

M&E Oversight and monitoring responsibilities:

**Project Manager:** The Project Manager is responsible for day-to-day project management and regular monitoring of project results and risks, including social and environmental risks. The Project Manager will ensure that all project staff maintain a high level of transparency, responsibility, accountability and gender inclusiveness in M&E and reporting of project results. The Project Manager will inform the Project Board, the UNDP Country Office and the UNDP-GEF Regional Technical Advisor (RTA) of any delays or difficulties as they arise during implementation so that appropriate support and corrective measures can be adopted.

The Project Manager will develop Annual Work Plans (AWPs) based on the multi-year work plan included in Annex A, including annual output gender-disaggregated targets to support the efficient implementation of the project. The Project Manager will ensure that the standard UNDP and GEF M&E requirements are fulfilled to the highest quality. This includes, but is not limited to, ensuring the results framework indicators are monitored annually in time for evidence-based reporting in the GEF PIR, and that the monitoring of risks and the various plans/strategies developed to support project implementation (e.g. gender strategy, KM strategy etc..) occur on a regular basis.

**Project Board:** The Project Board will take corrective action as needed to ensure the project achieves the desired results. The Project Board will hold project reviews to assess the performance of the project and appraise the Annual Work Plan for the following year. In the project's final year, the Project Board will hold an end-of-project review to capture lessons learned and discuss opportunities for scaling up and to highlight project results and lessons learned with relevant audiences. This final review meeting will also discuss the findings outlined in the project terminal evaluation report and the management response.

**Project Implementing Partner:** The Implementing Partner is responsible for providing any and all required information and data necessary for timely, comprehensive and evidence-based project reporting, including results and financial data, as necessary and appropriate. The Implementing Partner will strive to ensure project-level M&E is undertaken by national institutes, and is aligned with national systems so that the data used by and generated by the project supports national systems.

UNDP Country Office: The UNDP Country Office will support the Project Manager as needed, including through annual supervision missions. The annual supervision missions will take place according to the schedule outlined in the annual work plan. Supervision mission reports will be circulated to the project team and Project Board within one month of the mission. The UNDP Country Office will initiate and organise key GEF M&E activities including the annual GEF PIR, the *independent mid-term review* and the independent terminal evaluation. The UNDP Country Office will also ensure that the standard UNDP and GEF M&E requirements are fulfilled to the highest quality.

The UNDP Country Office is responsible for complying with all UNDP project-level M&E requirements as outlined in the [UNDP POPP](#). This includes ensuring the UNDP Quality Assurance Assessment during implementation is undertaken annually; that annual targets at the output level are developed, and monitored and reported using UNDP corporate systems; the regular updating of the ATLAS risk log; and, the updating of the UNDP gender marker on an annual basis based on gender mainstreaming progress reported in the GEF PIR and the UNDP ROAR. Any quality concerns flagged during these M&E activities (e.g. annual GEF PIR quality assessment ratings) must be addressed by the UNDP Country Office and the Project Manager.

The UNDP Country Office will retain all M&E records for this project for up to seven years after project financial closure in order to support ex-post evaluations undertaken by the UNDP Independent Evaluation Office (IEO) and/or the GEF Independent Evaluation Office (IEO).

UNDP-GEF Unit: Additional M&E and implementation quality assurance and troubleshooting support will be provided by the UNDP-GEF Regional Technical Advisor and the UNDP-GEF Directorate as needed.

Audit: The project will be audited according to UNDP Financial Regulations and Rules and applicable audit policies on NIM implemented projects.<sup>42</sup>

Additional GEF monitoring and reporting requirements:

Inception Workshop and Report: A project inception workshop will be held within two months after the project document has been signed by all relevant parties to, amongst others:

- a) Re-orient project stakeholders to the project strategy and discuss any changes in the overall context that influence project implementation;
- b) Discuss the roles and responsibilities of the project team, including reporting and communication lines and conflict resolution mechanisms;
- c) Review the results framework and finalise the indicators, means of verification and monitoring plan;
- d) Discuss reporting, monitoring and evaluation roles and responsibilities and finalise the M&E budget; identify national/regional institutes to be involved in project-level M&E; discuss the role of the GEF OFP in M&E;
- e) Update and review responsibilities for monitoring the various project plans and strategies, including the risk log; Environmental and Social Management Plan and other safeguard requirements; the gender strategy; the knowledge management strategy, and other relevant strategies;
- f) Review financial reporting procedures and mandatory requirements, and agree on the arrangements for the annual audit; and
- g) Plan and schedule Project Board meetings and finalise the first year annual work plan.

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<sup>42</sup> See guidance here: <https://info.undp.org/global/popp/frm/pages/financial-management-and-execution-modalities.aspx>



The Project Manager will prepare the inception report no later than one month after the inception workshop. The inception report will be cleared by the UNDP Country Office and the UNDP-GEF Regional Technical Adviser, and will be approved by the Project Board.

GEF Project Implementation Report (PIR): The Project Manager, the UNDP Country Office, and the UNDP-GEF Regional Technical Advisor will provide objective input to the annual GEF PIR covering the reporting period July (previous year) to June (current year) for each year of project implementation. The Project Manager will ensure that the indicators included in the project results framework are monitored annually in advance of the PIR submission deadline so that progress can be reported in the PIR. Any environmental and social risks and related management plans will be monitored regularly, and progress will be reported in the PIR.

The PIR submitted to the GEF will be shared with the Project Board. The UNDP Country Office will coordinate the input of the GEF Operational Focal Point and other stakeholders to the PIR as appropriate. The quality rating of the previous year's PIR will be used to inform the preparation of the subsequent PIR.

Lessons learned and knowledge generation: Results from the project will be disseminated within and beyond the project intervention area through existing information sharing networks and forums. The project will identify and participate, as relevant and appropriate, in scientific, policy-based and/or any other networks, which may be of benefit to the project. The project will identify, analyse and share lessons learned that might be beneficial to the design and implementation of similar projects and disseminate these lessons widely. There will be continuous information exchange between this project and other projects of similar focus in the same country, region and globally.

GEF Focal Area Tracking Tools: The GEF Tracking Tool(s) will be used to monitor global environmental benefit results. The baseline/CEO Endorsement GEF Focal Area Tracking Tool(s) – submitted in Annex D to this project document – will be updated by the Project Manager/Team and shared with *the* mid-term review consultants and terminal evaluation consultants (not the evaluation consultants hired to undertake the *MTR* or the TE) before the required review/evaluation missions take place. The updated GEF Tracking Tool(s) will be submitted to the GEF along with the completed Mid-term Review report and Terminal Evaluation report.

Independent Mid-term Review (MTR): An independent mid-term review process will begin after the second PIR has been submitted to the GEF, and the MTR report will be submitted to the GEF in the same year as the 3<sup>rd</sup> PIR. The MTR findings and responses outlined in the management response will be incorporated as recommendations for enhanced implementation during the final half of the project's duration. The terms of reference, the review process and the MTR report will follow the standard templates and guidance prepared by the UNDP IEO for GEF-financed projects available on the [UNDP Evaluation Resource Center \(ERC\)](#). As noted in this guidance, the evaluation will be 'independent, impartial and rigorous'. The consultants that will be hired to undertake the assignment will be independent from organisations that were involved in designing, executing or advising on the project to be evaluated. The GEF Operational Focal Point and other stakeholders will be involved and consulted during the terminal evaluation process. Additional quality assurance support is available from the UNDP-GEF Directorate. The final MTR report will be available in English and will be cleared by the UNDP Country Office and the UNDP-GEF Regional Technical Adviser, and approved by the Project Board.

Terminal Evaluation (TE): An independent terminal evaluation (TE) will take place upon completion of all major project outputs and activities. The terminal evaluation process will begin three months before operational closure of the project allowing the evaluation mission to proceed while the project team is still in place, yet ensuring the project is close enough to completion for the evaluation team to reach conclusions on key aspects such as project sustainability. The Project Manager will remain on contract until the TE report and management response have been finalised. The Terms of Reference (ToR), the evaluation process and the final TE report will follow the standard templates and guidance prepared by the UNDP IEO for GEF-financed projects available on the [UNDP Evaluation Resource Center](#). As noted in this guidance, the evaluation will be 'independent, impartial and rigorous'. The

consultants that will be hired to undertake the assignment will be independent from organisations that were involved in designing, executing or advising on the project to be evaluated. The GEF Operational Focal Point and other stakeholders will be involved and consulted during the terminal evaluation process. Additional quality assurance support is available from the UNDP-GEF Directorate. The final TE report will be cleared by the UNDP Country Office and the UNDP-GEF Regional Technical Adviser, and will be approved by the Project Board. The TE report will be publically available in English on the UNDP ERC.

The UNDP Country Office will include the planned project terminal evaluation in the UNDP Country Office evaluation plan, and will upload the final terminal evaluation report in English and the corresponding management response to the UNDP Evaluation Resource Centre (ERC). Once uploaded to the ERC, the UNDP IEO will undertake a quality assessment and validate the findings and ratings in the TE report, and rate the quality of the TE report. The UNDP IEO assessment report will be sent to the GEF IEO along with the project terminal evaluation report.

Final Report: The project’s terminal PIR along with the Terminal Evaluation (TE) report and corresponding management response will serve as the final project report package. The final project report package shall be discussed with the Project Board during an end-of-project review meeting to discuss lesson learned and opportunities for scaling up.

**Mandatory GEF M&E Requirements and M&E Budget:**

GEF M&E requirements	Primary responsibility	Indicative costs to be charged to the Project Budget <sup>43</sup> (US\$)		Time frame
		GEF grant	Co-financing	
Inception Workshop	UNDP Country Office	USD 4,000	None	Within two months of project document signature
Inception Report	Project Manager	None	None	Within two weeks of inception workshop
Standard UNDP monitoring and reporting requirements as outlined in the UNDP POPP	UNDP Country Office	None	None	Quarterly, annually
Monitoring of indicators in project results framework	Project Manager and M&E specialist	USD 15,000 per year Total USD 75,000		Annually
GEF Project Implementation Report (PIR)	Project Manager and UNDP Country Office and UNDP-GEF team	None	None	Annually
NIM Audit as per UNDP audit policies	UNDP Country Office	USD 10,000 per year Total USD 50,000	None	Annually or other frequency as per UNDP Audit policies
Lessons learned and knowledge generation	Project Manager	None	None	Annually

<sup>43</sup> Excluding project team staff time and UNDP staff time and travel expenses.

GEF M&E requirements	Primary responsibility	Indicative costs to be charged to the Project Budget <sup>43</sup> (US\$)		Time frame
		GEF grant	Co-financing	
Monitoring of environmental and social risks, and corresponding management plans as relevant	Project Manager UNDP CO	None	None	On-going
Addressing environmental and social grievances	Project Manager UNDP Country Office BPPS as needed	None for time of project manager, and UNDP CO	None	On-going
Project Board meetings	Project Board UNDP Country Office Project Manager	None	None	At minimum annually
Supervision missions	UNDP Country Office	None <sup>44</sup>		Annually
Oversight missions	UNDP-GEF team	None <sup>44</sup>		Troubleshooting as needed
GEF Secretariat learning missions/site visits	UNDP Country Office and Project Manager and UNDP-GEF team	None		To be determined.
Independent Mid-term Review (MTR) and management response	UNDP Country Office and Project team and UNDP-GEF team	None	USD 50,000	Between 2 <sup>nd</sup> and 3 <sup>rd</sup> PIR.
Independent Terminal Evaluation (TE) included in UNDP evaluation plan, and management response	UNDP Country Office and Project team and UNDP-GEF team	None	USD 60,000	At least three months before operational closure
Closure/lessons learned workshop	UNDP Country Office	USD 4,000		
TOTAL indicative COST Excluding project team staff time, and UNDP staff and travel expenses		USD 133,000	USD 110,000	

## VIII. GOVERNANCE AND MANAGEMENT ARRANGEMENTS

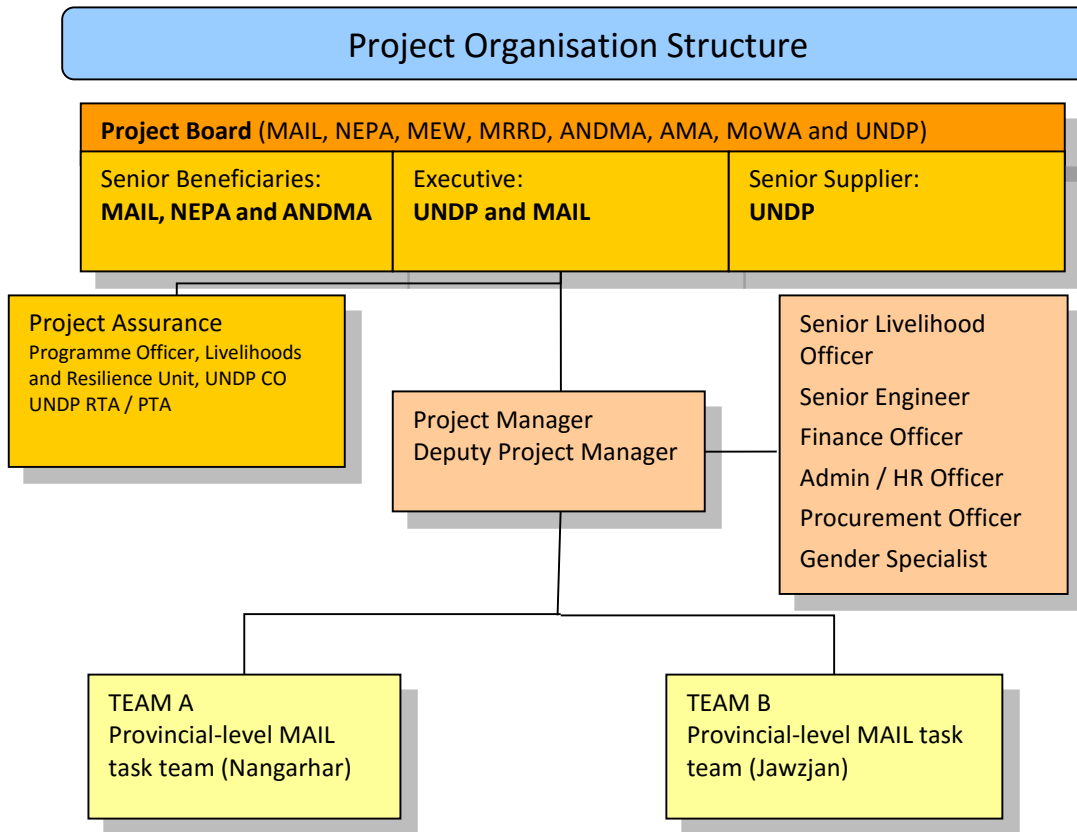
Roles and responsibilities of the project's governance mechanism: The project will be implemented following UNDP's National Implementation Modality (NIM), according to the Standard Basic Assistance Agreement between UNDP and the Government of the Islamic Republic of Afghanistan, and the Country Programme.

The Implementing Partner for the project is the Ministry of Agriculture, Irrigation and Livestock (MAIL). The Implementing Partner is responsible and accountable for managing this project, including the monitoring and evaluation of project interventions, achieving project outcomes, and for the effective use of UNDP resources. MAIL is the implementing partner for Outcome 1 (implementation of gender-sensitive climate-induced disaster risk reduction measures), Outcome 2 (Establishment of community-based early warning systems), Outcome 3 (Climate-resilient livelihoods) and Outcome 5 (Management cost). MAIL will engage UNDP as a Responsible Partner to

<sup>44</sup> The costs of UNDP Country Office and UNDP-GEF Unit's participation and time are charged to the GEF Agency Fee.

implement Outcome 4 (Strengthened institutional capacities to integrate climate change into the planning) with NEPA and ANDMA as the main beneficiaries of this component. UNDP will be directly accountable to MAIL in accordance with the terms of their Letter of Agreement with MAIL.

The project organisation structure is as follows:



The **Project Board** (also called Project Steering Committee) is responsible for making by consensus, management decisions when guidance is required by the Project Manager, including recommendations for UNDP/Implementing Partner approval of project plans and revisions. In order to ensure UNDP’s ultimate accountability, Project Board decisions should be made in accordance with standards that shall ensure management for development results, best value money, fairness, integrity, transparency and effective international competition. In case a consensus cannot be reached within the Board, the final decision shall rest with the UNDP Project Manager. The terms of reference for the Project Board are contained in Annex D. The Project Board is comprised of the following individuals:

- **Project Board Members:** MAIL, NEPA, MoEW, MRRD, ANDMA, AMA, MoWA and UNDP
- **Executive:** UNDP and MAIL will co-chair the project board.
- **Senior Supplier:** One or more representatives from UNDP will provide guidance concerning the technical feasibility and other aspects of project implementation.
- **Senior Beneficiaries:** One or more representatives from each of MAIL, ANDMA and NEPA will provide inputs to ensure the realisation of the expected project results as they pertain to the project beneficiaries.

The **Project Management Unit (PMU)** will be established in MAIL. It will comprise of a Project Manager, Deputy Project Manager, Gender Specialist, Procurement Officer, Finance Officer, Admin/HR Officer, Senior Engineer and Senior Livelihood Officer. Other technical and administrative services will be provided by UNDP CO staff, government officers and various national/international consultants, as relevant. The PMU – under the guidance of the Project

Board – will have overall management and administrative responsibility for project activities and will facilitate stakeholder engagement to promote ownership of the project activities at the national, provincial, district and local levels. The PMU will be located in MAIL’s office in Kabul to ensure strong coordination among government stakeholders at the national level. Sub-national work will be facilitated by two **provincial-level project task teams**, one each in Nangarhar and Jawzjan. These task teams will facilitate and coordinate the planning and implementation of the project activities at the provincial, district and local levels within their respective provinces.

The **Project Manager** will run the project on a day-to-day basis on behalf of the Implementing Partners within the constraints laid down by the Project Board. The Project Manager’s main responsibility is to ensure that the project produces the expected results as described in the project document, adhering to the required standard of quality and within the specified constraints of time and cost. At least one month in advance of each year of implementation, the Project Manager will prepare an Annual Work Plan (AWP). These plans will be reviewed and approved by the Project Board, after which they will be used to guide planning, implementation and tracking of project activities. At each meeting of the Project Board, the Project Manager will deliver a status report on project activity and progress towards achieving project objectives including feedback on risks, and proposed mitigation measures. The Project Manager will also be responsible for preparing all required annual reports for UNDP and GEF. The Project Manager function will end when the final project terminal evaluation report, and other documentation required by the GEF and UNDP, has been completed and submitted to UNDP (including operational closure of the project). The **Deputy Project Manager** will support the project manager in carrying out these and other tasks, as required.

The **Gender Specialist** will provide advisory services to entire Project Management Unit (PMU) on mainstreaming of gender into all aspects of the project include but not limited to: conduct/supervise appropriate gender analysis at the early stage of project to determine the different roles, needs and knowledge of women and men; develop gender equality action plan for the project; screen all training manuals, documents, policy briefs etc. developed by the project through gender perspective; introduction of gender sensitization best-practices to the project; capacity development of project staff on gender issues; and ensuring project results framework includes gender-responsive indicators and sex-disaggregated data where relevant.

The **Procurement Officer, Finance Officer and Admin/HR Officer** will provide financial and administrative support to the PMU, particularly relating to budgetary and financial aspects. They will be responsible for using the ATLAS system, ensuring budget compliance, maintaining oversight for contracting arrangements, administering all payments through the project budget, supporting travel and venue logistics, and producing quarterly budget reports for the PMU and Project Board.

The **project assurance** role will be provided by the UNDP Country Office, specifically by the Programme Officer for the Livelihoods and Resilience Unit, who will provide objective and independent project oversight and monitoring functions. Additional quality assurance will be provided by the UNDP Regional Technical Advisor, as needed.

Governance role for project target groups:

**Agreement on intellectual property rights and use of logo on the project’s deliverables and disclosure of information:**

In order to accord proper acknowledgement to the GEF for providing grant funding, the GEF logo will appear together with the UNDP logo on all promotional materials, other written materials like publications developed by the project, and project hardware. Any citation on publications regarding projects funded by the GEF will also accord proper acknowledgement to the GEF. Information will be disclosed in accordance with relevant policies notably the UNDP Disclosure Policy<sup>45</sup> and the GEF policy on public involvement.<sup>46</sup>

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<sup>45</sup> See [http://www.undp.org/content/undp/en/home/operations/transparency/information\\_disclosurepolicy/](http://www.undp.org/content/undp/en/home/operations/transparency/information_disclosurepolicy/)

<sup>46</sup> See [https://www.thegef.org/gef/policies\\_guidelines](https://www.thegef.org/gef/policies_guidelines),

UNDP Direct Project Services (DPS) as requested by Government: The UNDP, as GEF Agency for this project, will provide project management cycle services for the project as defined by the GEF Council. In addition, the Government of Afghanistan may request UNDP direct services for specific projects, according to its policies and convenience. The UNDP and Government of Afghanistan acknowledge and agree that those services are not mandatory, and will be provided only upon Government request. If requested, the services would follow the UNDP policies on the recovery of direct costs. These services (and their costs) are specified in the Letter of Agreement (Annex L). As is determined by the GEF Council requirements, these service costs will be assigned as Project Management Cost, duly identified in the project budget as Direct Project Costs. Eligible Direct Project Costs should not be charged as a flat percentage. They should be calculated on the basis of estimated actual or transaction based costs and should be charged to the direct project costs account codes: “64397- Services to projects – CO staff” and “74596 – Services to projects – GOE for CO”.

## IX. FINANCIAL PLANNING AND MANAGEMENT

The total cost of the project is USD 261,100,000. This is financed through a GEF LDCF grant of USD 5,600,000 and USD 1,000,000 in cash co-financing to be administered by UNDP as well as USD 254,500,000 in parallel co-financing. UNDP, as the GEF Implementing Agency, is responsible for the execution of the GEF resources and the cash co-financing transferred to UNDP bank account only.

Parallel co-financing: The actual realisation of project co-financing will be monitored during the mid-term review and terminal evaluation process and will be reported to the GEF. The planned parallel co-financing will be used as follows:

Co-financing source	Co-financing type	Co-financing amount	Planned Activities/Outputs	Risks	Risk Mitigation Measures
ADB	Grant	\$57,000,000 (from a \$247,000,000 initiative)	<p>The following activity (out of three main activities) of the initiative funded by the ADB (\$247 million) will directly serve as co-financing for this LDCF project where the project climate adaptation measures will influence their baseline activities:</p> <p>1. Flood-Damaged emergency infrastructure rehabilitation project that focuses on more than 100 damaged structures rehabilitation (\$57 million).</p> <p>Under this activity, structures damaged by flooding will be rehabilitated and retaining walls developed along rivers to reduce future flood damage where the LDCF-supported project will provide expertise on localized</p>	Lack of coordination between stakeholders	Involvement of all stakeholders during implementing of the project.

			<p>risk reduction measures using community-based approaches.</p> <p>The remaining activities listed below are already related to climate adaptation measures in Afghanistan that will be implemented in a different geographical area (Badakhshan and Kundoz provinces) than those of this LDCF project (Jowzjan and Ningarhar provinces) and therefore not considered as co-finance.</p> <p>2.Economics of climate change in Central and West Asia (for Afghanistan the TA focuses on climate change adaptation).</p> <p>3.Water Resource Development Investment Program for northern and eastern provinces of the country, specifically the ongoing component of bank protection at Amu Darya River to control erosion during flood events (\$190 million).</p>		
World Bank	Grant	\$2,500,000	<p>A national assessment of flood, landslide, avalanche, earthquake, and drought risks will be undertaken to inform government development planning. In addition, appropriate DRR measures will be identified.</p> <p>Following this, an EWS scoping exercise will be undertaken. An organisational roadmap for national EWS has been prepared and outlines measures for improvement of Afghanistan’s hydro-met services.</p> <p>Capacity building of ANDMA will be conducted based on the institutional capacity-building plan developed by the World Bank. This will include formulation of a new Strategic Framework defining the mandate of ANDMA and other</p>	Weak ownership from government.	One component of the WB project aims to strengthen institutional and technical capacity of the government to implement projects.

			<p>ministries, agencies and partners. Based on the Strategic Framework, capacity building activities for ANDMA will be undertaken.</p> <p>Finally, Environmental and Social Safeguards training under the Citizen Charter will include training on DRR.</p>		
MAIL	Grant	\$5,000,000	<p>Forest and watershed management</p> <p>On-farm water management</p> <p>Livelihood diversification</p>	<p>Communities and cultural traditions prevent women from participating and direct benefiting from project.</p>	<p>Gender awareness campaigns with both men and women to emphasize the importance of participation of women during implementation of project.</p>

**Budget Revision and Tolerance:** As per UNDP requirements outlined in the UNDP POPP, the project board will agree on a budget tolerance level for each plan under the overall annual work plan allowing the project manager to expend up to the tolerance level beyond the approved project budget amount for the year without requiring a revision from the Project Board. Should the following deviations occur, the Project Manager and UNDP Country Office will seek the approval of the UNDP-GEF team as these are considered major amendments by the GEF:

- a) Budget re-allocations among components in the project with amounts involving 10% of the total project grant or more;
- b) Introduction of new budget items/or components that exceed 5% of original GEF allocation.

Any over expenditure incurred beyond the available GEF grant amount will be absorbed by non-GEF resources (e.g. UNDP TRAC or cash co-financing).

**Refund to Donor:** Should a refund of unspent funds to the GEF be necessary, this will be managed directly by the UNDP-GEF Unit in New York.

**Project Closure:** Project closure will be conducted as per UNDP requirements outlined in the UNDP POPP. On an exceptional basis only, a no-cost extension beyond the initial duration of the project will be sought from in-country UNDP colleagues and then the UNDP-GEF Executive Coordinator.

**Operational completion:** The project will be operationally completed when the last UNDP-financed inputs have been provided and the related activities have been completed. This includes the final clearance of the Terminal Evaluation Report (that will be available in English) and the corresponding management response, and the end-of-project review Project Board meeting. The Implementing Partner through a Project Board decision will notify the UNDP Country Office when operational closure has been completed. At this time, the relevant parties will have already agreed and confirmed in writing on the arrangements for the disposal of any equipment that is still the property of UNDP. Such equipment will be transferred to the beneficiary (MAIL, other government agencies, local communities).

**Financial completion:** The project will be financially closed when the following conditions have been met:



- a) The project is operationally completed or has been cancelled;
- b) The Implementing Partner has reported all financial transactions to UNDP;
- c) UNDP has closed the accounts for the project;
- d) UNDP and the Implementing Partner have certified a final Combined Delivery Report (which serves as final budget revision).

The project will be financially completed within 12 months of operational closure or after the date of cancellation. Between operational and financial closure, the implementing partner will identify and settle all financial obligations and prepare a final expenditure report. The UNDP Country Office will send the final signed closure documents including confirmation of final cumulative expenditure and unspent balance to the UNDP-GEF Unit for confirmation before the project will be financially closed in Atlas by the UNDP Country Office.

## X. TOTAL BUDGET AND WORK PLAN

Total Budget and Work Plan			
Atlas Proposal or Award ID:	00098027	Atlas Primary Output Project ID:	00101512
Atlas Proposal or Award Title:	Afghanistan: Strengthening the resilience of rural livelihood options		
Atlas Business Unit	AFG10 Afghanistan		
Atlas Primary Output Project Title	Adapting Afghan communities to climate-induced disaster risks project		
UNDP-GEF PIMS No.	5398		
Implementing Partner	MAIL		

GEF Component/Atlas Activity	Responsible Party/Implementing Agent	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount Year 1 (\$)	Amount Year 2 (\$)	Amount Year 3 (\$)	Amount Year 4 (\$)	Amount Year 5 (\$)	Total (\$)	See Budget Note
COMPONENT/ OUTCOME 1: Decision-making and implementation of gender-sensitive climate-induced disaster risk reduction measures in selected communities enhanced.	Ministry of Agriculture, Irrigation and Livestock	62160	LDCF	71200	International Consultants	-	55,860	-	-	-	55,860	1a
				71300	Local Consultants	93,740	93,740	93,740	93,740	93,740	468,700	1b
				71600	Travel	30,000	45,000	51,000	68,000	32,000	226,000	1c
				72100	Contractual services - Companies	-	20,000	20,000	20,000	-	60,000	1d
				72400	Communication and Audio Visual Equip	4,100	4,100	4,100	4,100	4,100	20,500	1e
				72500	Office supplies	1,000	1,000	1,000	1,000	1,000	5,000	1f
				73400	Rental & Maintenance of Other Equip	6,200	6,200	6,200	6,200	6,200	31,000	1g
				74200	Audio Visual & Print Prod Costs	2,000	2,000	2,000	2,000	2,000	10,000	1h
				75700	Training, Workshop and Conferences	-	45,000	45,000	-	-	90,000	1i
					<b>Sub-total LDCF</b>	<b>137,040</b>	<b>272,900</b>	<b>223,040</b>	<b>195,040</b>	<b>139,040</b>	<b>967,060</b>	
	<b>Total Outcome 1</b>	<b>137,040</b>	<b>272,900</b>	<b>223,040</b>	<b>195,040</b>	<b>139,040</b>	<b>967,060</b>					
COMPONENT/ OUTCOME 2: Community-based early warning systems established and	Ministry of Agriculture, Irrigation and Livestock	62160	LDCF	71200	International Consultants	-	27,930	27,930	-	-	55,860	2a
				71300	Local Consultants	120,540	120,540	120,540	120,540	120,540	602,700	2b
				71600	Travel	30,000	30,000	30,000	30,000	30,000	150,000	2c
				72100	Contractual services - Companies	-	352,500	60,000	60,000	60,000	532,500	2d

effectively utilised by all vulnerable groups				72400	Communication and Audio Visual Equip	5,000	5,000	5,000	5,000	5,000	25,000	2e
				72500	Office supplies	2,540	2,000	2,000	2,000	2,000	10,540	2f
				73400	Rental & Maintenance of Other Equip	5,200	5,200	5,200	5,200	5,200	26,000	2g
				75700	Training, Workshop and Conferences	-	45,000	45,000	45,000	45,000	180,000	2h
					<b>Sub-total LDCF</b>	<b>163,280</b>	<b>588,170</b>	<b>295,670</b>	<b>267,740</b>	<b>267,740</b>	<b>1,582,600</b>	
				04000	UNDP	-	-	-	-	-	-	-
							<b>Sub-total UNDP</b>	-	-	-	-	-
				<b>Total Outcome 2</b>	<b>163,280</b>	<b>588,170</b>	<b>295,670</b>	<b>267,740</b>	<b>267,740</b>	<b>1,582,600</b>		
COMPONENT/ OUTCOME 3: Climate-resilient livelihoods focusing on vulnerable groups are implemented in selected communities	Ministry of Agriculture, Irrigation and Livestock	62160	LDCF	71300	Local Consultants	-	12,000	18,000	18,000	-	48,000	3a
				72100	Contractual services - Companies		586,100	586,100	586,100	586,100	2,344,400	3b
				75700	Training, Workshop and Conferences	6,000	6,000	6,000	6,000	6,000	30,000	3c
				71200	International Consultants	-	-	45,600	-	-	45,600	3d
					<b>Sub-total LDCF</b>	<b>6,000</b>	<b>604,100</b>	<b>655,700</b>	<b>610,100</b>	<b>592,100</b>	<b>2,468,000</b>	
		04000	UNDP	72100	Contractual services - Companies		164,711	164,713	164,713	164,713	658,850	3e
					Sub-total UNDP	-	164,711	164,713	164,713	164,713	658,850	
				<b>Total Outcome 3</b>	<b>6,000</b>	<b>768,811</b>	<b>820,413</b>	<b>774,813</b>	<b>756,813</b>	<b>3,126,850</b>		
COMPONENT/ OUTCOME 4: Strengthened institutional capacities to integrate climate risks and opportunities into national and provincial development	UNDP	62160	LDCF	71200	International Consultants	-	64,170	64,170	-	-	128,340	4a
				71300	Local Consultants	-	36,000	36,000	36,000	36,000	144,000	4b
				71600	Travel	-	5,000	5,000	5,000	5,000	20,000	4c
				72500	Office supplies	2,000	2,000	2,000	2,000	2,000	10,000	4d
				75700	Training, Workshop and Conferences	-	5,000	5,000	5,000	5,000	20,000	4e
			<b>Sub-total LDCF</b>	<b>2,000</b>	<b>112,170</b>	<b>112,170</b>	<b>48,000</b>	<b>48,000</b>	<b>322,340</b>			
		04000	UNDP			-	-	-	-	-	-	
				<b>Sub-total UNDP</b>	-	-	-	-	-			

plans, policies, budgetary allocation and implementation mechanisms													
					<b>Total Outcome 4</b>	<b>2,000</b>	<b>112,170</b>	<b>112,170</b>	<b>48,000</b>	<b>48,000</b>	<b>322,340</b>		
Project management unit	MAIL and UNDP	62160	LDCF	74596	Services to projects	9,120	9,120	9,120	9,120	9,120	45,600	PM1	
				71300	Local Consultants	18,540	18,540	18,540	18,540	18,540	92,700	PM2	
				71600	Travel	6,000	6,000	6,000	6,000	6,000	30,000	PM3	
				72500	Office supplies	2,500	2,500	2,500	2,500	2,500	12,500	PM4	
				72800	Information Technology Equipmt	15,200	2,000	2,000	2,000	-	21,200	PM5	
				74100	Professional services	10,000	10,000	10,000	10,000	10,000	50,000	PM6	
				75700	Training, Workshop and Conferences	4,000	-	-	-	4,000	8,000	PM7	
						<b>Sub-total LDCF</b>	<b>65,360</b>	<b>48,160</b>	<b>48,160</b>	<b>48,160</b>	<b>50,160</b>	<b>260,000</b>	
		04000	UNDP	71200	International Consultants	-	-	-	-	50,000	50,000	PM8	
				71400	Contractual services Individual	21,660	21,660	21,660	21,660	21,660	108,300	PM9	
				74596	Services to projects	14,970	14,970	14,970	14,970	14,970	74,850	PM10	
				64397	Services to projects	21,600	21,600	21,600	21,600	21,600	108,000	PM11	
						<b>Sub-total UNDP</b>	<b>58,230</b>	<b>58,230</b>	<b>58,230</b>	<b>58,230</b>	<b>108,230</b>	<b>341,150</b>	
						<b>Total Management</b>	<b>123,590</b>	<b>106,390</b>	<b>106,390</b>	<b>106,390</b>	<b>158,390</b>	<b>601,150</b>	
		Total For LDCF						<b>373,680</b>	<b>1,625,500</b>	<b>1,334,740</b>	<b>1,169,040</b>	<b>1,097,040</b>	<b>5,600,000</b>
Total for UNDP						<b>58,230</b>	<b>222,941</b>	<b>222,943</b>	<b>222,943</b>	<b>272,943</b>	<b>1,000,000</b>		
Project Total						<b>431,910</b>	<b>1,848,441</b>	<b>1,557,683</b>	<b>1,391,983</b>	<b>1,369,983</b>	<b>6,600,000</b>		

Budget Note	Description of cost Item
<b>Outcome 1</b>	
1a	International DRR Training Specialist @ 45 days x \$500 per day + 2 flights x \$1,500 + 15 days DSA x \$162 = \$27,930 International Disaster Mapping Specialist @ 45 days x \$500 per day + 2 flights x \$1,500 + 15 days DSA x \$162 = \$27,930
1b	National DRR consultant @ \$2,000 per month for 8 months = \$16,000 35% Project Manager @ \$1,050 per month for 5 years = \$63,000 35% Deputy Project Manager @ \$735 per month for 5 years = \$44,100 35% Finance Officer @ \$280 per month for 5 years = \$16,800 35% Procurement / Admin Officer @ \$280 per month for 5 years = \$16,800  50% Two Provincial Project Coordinators @ \$1,000 per month for 4.5 years = \$108,000 50 % Two Provincial Finance Officers @ \$350 per month for 4.5 years = \$37,800 50 % Two Provincial Procurement/Admin Officers @ \$350 per month for 4.5 years = \$37,800 50 % Provincial Support staff (2 drivers) @ \$300 per month for 4.5 years = \$16,200 50% Provincial Support staff (2 guards) @ \$150 per month for 4.5 years = \$8,100 50% Provincial Support staff (2 cleaners) @ \$150 per month for 4.5 years = \$8,100  50% Two Community Mobilisers @ \$500 per month each for 4 years = \$48,000 50% Two Gender/Social officers @ \$5,00 per month each for 4 years = \$48,000
1c	Exchange visits for CDCs and community members @ \$1,000 per community per exchange for 30 communities for 2 exchanges = \$60,000 Exchange visits for district-level staff @ \$1,000 per district per exchange for 6 districts for 2 exchanges = \$12,000 Exchange visits for provincial-level staff @ \$1,000 per province per exchange for 2 provinces for 2 exchanges = \$4,000 Travel to project sites @ \$1,000 per community per year for 30 communities for 5 years = \$150,000
1d	Climate change awareness-raising @ \$10,000 per province for 3 years for 2 provinces = \$60,000
1e	Cost of mobile top-up card @ \$2,500 Cost of internet \$ 18,000
1f	Office supplies @ \$5,000
1g	Cost of maintenance of equipment @ \$6,000 Cost of maintenance and operation of vehicles @ \$12,500 Cost of fuel for vehicles @ \$12,500
1h	Printing of training and other miscellaneous materials @ \$10,000
1i	Training for CDCs and community members @ \$1,500 per community per training for 2 trainings for 30 communities = \$90,000
<b>Outcome 2</b>	
2a	International EWS Specialist @ 45 days x \$500 per day + 2 flights x \$1,500 + 15 days DSA x \$162 = \$27,930 International CB-DRR Planning Specialist @ 45 days x \$500 per day + 2 flights x \$1,500 + 15 days DSA x \$162 = \$27,930
2b	National EWS/CB-DRR consultant @ \$2,500 per month for 5 years = \$150,000 35% Project Manager @ \$1,050 per month for 5 years = \$63,000

	<p>35% Deputy Project Manager @ \$735 per month for 5 years = \$44,100  35% Finance Officer @ \$280 per month for 5 years = \$16,800  35% Procurement / Admin Officer @ \$280 per month for 5 years = \$16,800</p> <p>50% Two Provincial Project Coordinators @ \$1,000 per month for 4.5 years = \$108,000  50 % Two Provincial Finance Officers @ \$350 per month for 4.5 years = \$37,800  50 % Two Provincial Procurement/Admin Officers @ \$350 per month for 4.5 years = \$37,800  50 % Provincial Support staff (2 drivers) @ \$300 per month for 4.5 years = \$16,200  50 % Provincial Support staff (2 guards) @ \$150 per month for 4.5 years = \$8,100  50 % Provincial Support staff (2 cleaners) @ \$150 per month for 4.5 years = \$8,100</p> <p>50% Two Community Mobilisers @ \$500 per month each for 4 years = \$48,000  50% Two Gender/Social officers @ \$5,00 per month each for 4 years = \$48,000</p>
2c	Travel to project sites @ \$1,000 per community per year for 30 communities for 5 years = \$150,000
2d	Climate and disaster monitoring equipment @ \$10,750 per community for 30 communities = \$322,500 Quarterly testing of community-based EWS systems @ \$500 per testing for 30 communities for 3.5 years with 4 testings per year = \$210,000
2e	Cost of mobile top-up card @ \$5,000 Cost of internet \$ 20,000
2f	Office supplies @ \$10,540
2g	Cost of maintenance of equipment @ \$6,000 Cost of maintenance and operation of vehicles @ \$10,000 Cost of fuel for vehicles @ \$10,000
2h	Training on operationalising and implementing DRR plans @ \$1,500 per training for 30 communities for 4 trainings = \$180,000
	<b>Outcome 3</b>
3a	2 x National Resilient Infrastructure Engineers @ \$1,000 per month for 8 months = \$16,000 2 x National Resilient Agriculture officer @ \$1,000 per month for 8 months = \$16,000 2 x National Alternative Livelihoods officers @ \$1,000 per month for 8 months = \$16,000
3b	Contractual services for construction of climate-resilient infrastructure @ \$50,244.44 per community for 18 communities = \$904,400 Contractual services for promoting alternative and resilient livelihoods @ \$6,000 per community per year for 60 communities for 4 years = \$1,440,000
3c	Training on climate-resilient infrastructure @ \$1,000 per community for 30 communities = \$30,000
3d	Mid-Term Review @ \$45,600
3e	Contractual services for construction of climate-resilient infrastructure @ \$33,395 per community for 11 communities = \$367,345 Contractual services for promoting alternative and resilient livelihoods @ \$1,215.42 per community per year for 60 communities for 4 years = \$291,700
	<b>Outcome 4</b>

4a	International Climate Policy and Planning Specialist @ 45 days x \$500 per day + 2 flights x \$1,500 + 15 days DSA x \$162 = \$27,930 International Climate Change Economics Specialist @ 45 days x \$500 per day + 2 flights x \$1,500 + 15 days DSA x \$162 = \$27,930 International Climate Change Communications Specialist @ 120 days x \$500 per day + 4 flights x \$1,500 + 40 days DSA x \$162 = \$72,480
4b	National M&E / Knowledge Management Specialist @ \$2,500 per month for 48 months = \$120,000 National Climate Change Communications Officer @ \$2000 per month for 3 months per year for 4 years = \$24,000
4c	Travel and accommodation for attending Istanbul Process knowledge-sharing events @ \$5,000 per event for 4 events = \$20,000
4d	Office Supply (Printing of training material, policy briefs and other miscellaneous items) @ \$10,000
4e	Training and dissemination workshops @ \$5,000 per workshop for 4 workshops = \$20,000
<b>PMU</b>	
PM1	Direct Project Cost (DPC). Creation of 800 vendors. Cost per voucher is \$57= 800x57= \$45,600
PM2	15% Project Manager @ \$450 per month for 5 years = \$27,000 15% Deputy Project Manager @ \$315 per month for 5 years = \$18,900 15% Finance Officer @ \$120 per month for 5 years = \$7,200 15% Procurement / Admin Officer @ \$120 per month for 5 years = \$7,200 50 % Kabul base support staff (2 drivers) @ \$300 per month for 4.5 years = \$16,200 (this position is cost shared with another project 1 <sup>st</sup> LDCF project) 50% Kabul base support (2 guards) @ \$150 per month for 4.5 years = \$8,100 (this position is cost shared with another project 1 <sup>st</sup> LDCF project)  50% Kabul base support staff (2 cleaners) @ \$150 per month for 4.5 years = \$8,100 (this position is cost shared with another project 1 <sup>st</sup> LDCF project)
PM3	Travel for oversight, coordination, M&E, etc. @ \$6,000 per year for 5 years = \$30,000
PM4	Office supplies @ \$2,500 per year for 5 years = \$12,500
PM5	Computers and accessories @ \$21,200
PM6	Annual audit @ \$10,000 per year for 5 years = \$50,000
PM7	Inception and closure workshops @ \$4,000 per workshop = \$8,000
PM8	Terminal Evaluation @ \$50,000
PM9	15% Project Manager @ \$450 per month for 5 years = \$27,000 15% Deputy Project Manager @ \$315 per month for 5 years = \$18,900 15% Finance Officer @ \$120 per month for 5 years = \$7,200 15% Procurement / Admin Officer @ \$120 per month for 5 years = \$7,200 Support Staff (2 drivers, 1 guard, 1 cleaner) @ \$800 per month for 5 years = \$48,000
PM10	Direct Project Cost (DPC). 1110 vouchers. Cost per voucher is \$67,432= 1110x67,432= \$74,850
PM11	DPC project cost 20% Salary of programme officer = 1000x5x12= \$60,000 20% Salary of programme finance analyst= 800x5x12=\$48,000

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**Summary of Funds:**

Description of donors	Amount Year 1	Amount Year 2	Amount Year 3	Amount Year 4	Amount Year 5	Total
GEF	\$373,680	\$1,625,500	\$1,334,740	\$1,169,040	\$1,097,040	\$5,600,000
UNDP	\$58,230	\$222,941	\$222,943	\$222,943	\$272,943	\$1,000,000
MAIL	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$5,000,000
World Bank	\$2,500,000	-	-	-	-	\$2,500,000
ADB	\$11,400,000	\$11,400,000	\$11,400,000	\$11,400,000	\$11,400,000	\$57,000,000
<b>TOTAL</b>	\$15,331,910	\$14,248,441	\$13,957,683	\$13,791,983	\$13,769,983	\$71,100,000



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## XI. LEGAL CONTEXT

Any designations on maps or other references employed in this project document do not imply the expression of any opinion whatsoever on the part of UNDP concerning the legal status of any country, territory, city or area or its authorities, or concerning the delimitation of its frontiers or boundaries.

This document together with the CPAP signed by the Government and UNDP which is incorporated by reference constitute together a Project Document as referred to in the SBAA [or other appropriate governing agreement] and all CPAP provisions apply to this document.

Consistent with the Article III of the Standard Basic Assistance Agreement, the responsibility for the safety and security of the implementing partner and its personnel and property, and of UNDP's property in the implementing partner's custody, rests with the implementing partner. The implementing partner shall:

- a) put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the project is being carried;
- b) assume all risks and liabilities related to the implementing partner's security, and the full implementation of the security plan.

UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of this agreement.

The implementing partner agrees to undertake all reasonable efforts to ensure that none of the UNDP funds received pursuant to the Project Document are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). This provision must be included in all sub-contracts or sub-agreements entered into under this Project Document.

The UNDP Resident Representative or his/her delegate is authorised to effect in writing the following types of revisions to this Project Document, provided that s/he has verified the agreement thereto by the UNDP Regional Coordinating Unit and is assured that other signatories to the Project Document have no objections to the proposed changes:

- a) Revision of, or addition to, any of the Annexes to the Project Document;
- b) Revisions which do not involve significant changes in the immediate objectives, outputs or activities of the project, but are caused by the rearrangement of the inputs already agreed to or by cost increases due to inflation;
- c) Mandatory annual revisions which re-phase the delivery of agreed project inputs or increased expert or other costs due to inflation or take into account agency expenditure flexibility; and
- d) Inclusion of additional attachments only as set out here in the Project Document

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## **XII. MANDATORY ANNEXES**

- A. Multi-year Workplan
- B. Monitoring Plan
- C. Evaluation Plan
- D. Project quality assurance plan
- E. Offline risk log
- F. GEF tracking tool and baseline
- G. Terms of reference
- H. UNDP Social and Environment Screening
- I. Co-finance letters
- J. GEF OFP endorsement letter
- K. Reallocation of project budget between outcomes during PPG phase
- L. LoA for DPC

## A. Multi-Year Work Plan

Task	Responsible Party	Year 1				Year 2				Year 3				Year 4				Year 5			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Prepare gender-sensitive awareness-raising material in Dari and Pashto on the need to integrate climate information into DRM and planning efforts.						■	■			■	■			■	■						
Conduct awareness raising through appropriate media including signboards, posters, public gatherings and <i>masjid</i> , women's <i>shuras</i> , women cooperatives, radio and TV broadcasts, schools, SMS and social media.							■				■				■						
Develop training materials in Dari and Pashto for communities, CDCs, early warning volunteers and MRRD and MAIL district-level offices on monitoring, tracking and analysing weather data and hazard mapping.				■	■	■	■														
Train communities (with separate sessions for men and women), CDCs, early warning volunteers and MRRD and MAIL district-level staff on monitoring, tracking and analysing weather data and hazard mapping.							■	■	■												
Facilitate exchange visits for early warning volunteers and representatives from MRRD and MAIL district-level offices to observe good practices.								■	■												
Undertake hazard and risk mapping of climate-induced disasters – e.g. flooding, landslides, avalanches, drought, winds, thunderstorms, fire and diseases – in selected communities.				■	■	■	■														
Facilitate vulnerability assessments to identify the potential impacts of climate-induced disasters on vulnerable groups including men, women, the youth, the elderly, people with disabilities, patients, IDPs and marginalised groups.						■	■														
Combine hazard and risk mapping, vulnerability assessments and OCHA data to develop climatic calendars detailing seasonal risks for selected communities, with a focus on flooding (during <i>Hoot</i> to <i>Jawza</i> ) and droughts (during <i>Saratan</i> to <i>Sonbula</i> ).						■	■	■	■	■											
Assess selected communities to identify appropriate mechanisms for continuous monitoring of relevant climate data – including precipitation, groundwater levels, temperature and humidity – based on the identified climate hazards, risks and vulnerabilities.				■	■																

Task	Responsible Party	Year 1				Year 2				Year 3				Year 4				Year 5			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Design a model and Standard Operating Procedures for continuous collection, validation and analysis of relevant climate data within the selected communities.																					
Identify the most appropriate communication channels for transmitting climate data from community-level mechanisms to MAIL, ANDMA and MRRD offices at the district, provincial and national levels.																					
Establish information sharing and coordination channels for DDMCs and PDMCs as well as at the national level to ensure coordination between MAIL, ANDMA and MRRD staff at all levels.																					
Identify the most appropriate communication channels for transmitting early warnings and advisories on climate-induced disasters from the national level through DDMCs and PDMCs to local communities, taking into account the local environmental and socio-economic context (e.g. rugged terrain, literacy, access to radio or mobile phone signals).																					
Develop Standard Operating Procedures for generating and disseminating early warnings to selected communities detailing appropriate channels for different vulnerable groups (including men, women, the youth, the elderly and people with disabilities patients and people that need medical attention such as women in the postpartum period and pregnant women).																					
Test bottom-up and top-down communication channels and procedures for generating and disseminating early warnings to selected communities on a quarterly basis.																					
Establish Community Disaster Management Committees based on existing stakeholder groups (e.g. as sub-committees of CDCs and WUAs) and ensuring representation of all vulnerable groups including men, women, the youth, the elderly and people with disabilities.																					
Develop operational DRR plans detailing roles and responsibilities in response to disasters identified through the gender-sensitive hazard and risk mapping and vulnerability assessments.																					
Conduct training in each community focusing on the different vulnerabilities identified (including men, women,																					

Task	Responsible Party	Year 1				Year 2				Year 3				Year 4				Year 5			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
the youth, the elderly and people with disabilities, etc.) through public gatherings and at schools on the community-specific operational plans.																					
Undertake emergency drills and exercises based on different disaster scenarios to promote familiarity with the operational plans in each community (linked to the testing of CBEWS).																					
Design climate-resilient habitats and disaster/emergency shelters that can serve multiple purposes before and during disasters (e.g. schools, clinics, emergency evacuation centres), taking into account the needs of different groups during disasters (e.g. men, women, children, the elderly, and patients).																					
Train communities on building climate-resilient habitats, emergency shelters and protective, small-scale rural infrastructure.																					
Construct climate-resilient habitats and emergency shelters.																					
Construct protective, small-scale rural infrastructure to reduce the risk of losses and damages during climate-induced disaster events.																					
Undertake a market assessment to identify opportunities for high-value products that have a sustainable demand.																					
Promote climate-resilient agricultural techniques (e.g. drought- and salt-resistant varieties, greenhouse/tunnel farming, drip irrigation, post-harvest storage) based on climate risks as well as the demand identified through the market assessment.																					
Promote alternative income-generating activities and value-addition activities to diversify livelihood options.																					
Conduct stock-taking of current and future climate risks to identify gender-sensitive adaptation objectives at the national level.																					
Identify appropriate adaptation options to address national adaptation risks – based on national and international lessons learned and best practices – for inclusion into national DRM strategies for MRRD, ANDMA and MAIL as well as the Afghanistan National Peace and Development Framework and the Afghanistan Climate Change Strategy and Action Plan and the Citizen Charter.																					

Task	Responsible Party	Year 1				Year 2				Year 3				Year 4				Year 5			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Undertake sectoral cost-benefit analyses to determine efficient and sustainable, gender-responsive adaptation options.					■	■	■														
Undertake costing of selected adaptation options in each sector.					■	■	■														
Conduct training workshops on integrating gender-specific climate change adaptation and gender-sensitive DRM considerations into provincial, district and community development planning processes for MAIL, MRRD and ANDMA as well as in CDC, DDA and PDP planning processes.						■	■	■													
Support the formulation of gender-sensitive Provincial Climate Action Plans and Community Development Plans integrating climate change and DRM considerations.									■	■											
Facilitate annual revisions of Provincial Development Plans – based on the Provincial Climate Action Plans – to mainstream climate change and adaptation measures into provincial-level development planning.											■				■						■
Develop training materials on the cause, effects and impacts of climate change on different vulnerable groups as well as appropriate adaptation measures for relevant sectors.						■	■	■													
Formulate policy briefs and recommendations on addressing climate change within relevant sectors incorporating the various needs of vulnerable groups including men, women, the youth, the elderly and people with disabilities.									■	■	■										
Conduct workshops to disseminate policy briefs and recommendations as well as provide training on climate change adaptation for NEPA Climate Change Unit.												■	■	■							
Promote knowledge sharing of climate change and disaster knowledge among senior level employees of relevant stakeholders for strategic-level decision-making.												■	■	■							
Provide on-the-job training for policy- and decision-makers in relevant sectors to integrate climate change adaptation into medium- and long-term development planning.								■	■	■	■	■	■								
Establish unit within NEPA to promote regional knowledge sharing on successful approaches in adaptation planning and implementation.				■	■	■	■														

Task	Responsible Party	Year 1				Year 2				Year 3				Year 4				Year 5				
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	
Collate and synthesise results and information from this project and other national initiatives to detail lessons learned and best practices on climate change adaptation.																						
Exchange lessons learned and best practices with regional counterparts through the Heart of Asia-Istanbul Process and other knowledge-sharing mechanisms.																						

## B. Monitoring Plan

Monitoring Activity	Purpose	Frequency	Expected Action	Partners	Cost
<b>Track results progress</b>	Progress data against the results indicators in the RRF will be collected and analysed to assess the progress of the project in achieving the agreed outputs.	Quarterly	Slower than expected progress or other unintended consequences will be addressed by project management.	MAIL, NEPA and ANDMA	Cost budgeted
<b>Monitor and Manage Risk</b>	Identify specific risks that may threaten achievement of intended results. Identify and monitor risk management actions using a risk log. This includes monitoring measures and plans that may have been required as per UNDP's Social and Environmental Standards. Audits will be conducted in accordance with UNDP's audit policy to manage financial risk.	Quarterly	Risks are identified by project management and actions are taken to manage risk. The risk log is actively maintained to keep track of identified risks and actions taken.	MAIL, NEPA and ANDMA	Cost budgeted
<b>Learn</b>	Knowledge, good practices and lessons will be captured regularly, as well as actively sourced from other projects and partners and integrated back into the project.	Annually	Relevant lessons are captured by the project team and used to inform management decisions.	MAIL and NEPA and ANDMA	Cost budgeted
<b>Annual Project Quality Assurance</b>	The quality of the project will be assessed against UNDP's quality standards to identify project strengths and weaknesses and to inform management decision making to improve the project.	Annually	Areas of strength and weakness will be reviewed by project management and used to inform decisions to improve project performance.	UNDP	Cost budgeted
<b>Review and Make Course Corrections</b>	Internal review of data and evidence from all monitoring actions to inform decision making.	Annually	Performance data, risks, lessons and quality will be discussed by the project board and used to make course corrections.	UNDP and MAIL	Cost budgeted
<b>Project Report</b>	A progress report will be presented to the Project Board and key stakeholders, consisting of progress data showing the results achieved against pre-defined annual targets at the output level, the annual project quality rating summary, an updated risk log with mitigation measures, and any evaluation or review reports prepared over the period.	PIR, Quarterly, Annually and at the end of the project (final report)	PEF requirements Annual and Quarterly Progress Report utilizing UNDP standard template	UNDP	Cost budgeted
<b>Project Review (Project Board)</b>	The project's governance mechanism (i.e., project board) will hold regular project reviews to assess the performance of the project and review the Multi-Year Work Plan to ensure realistic budgeting over the life of the project. In the project's final year, the Project Board shall hold an end-of project review to capture lessons learned and discuss opportunities for scaling up and to socialize project results and lessons learned with relevant audiences.	Twice a year, or when deemed necessary	Any quality concerns or slower than expected progress should be discussed by the project board and management actions agreed to address the issues identified.	UNDP, MAIL, NEPA and ANDMA	Cost budgeted



### C. Evaluation Plan

Evaluation Title	Partners (if joint)	Related Strategic Plan Output	UNDAF/CPD Outcome	Planned Completion Date	Key Evaluation Stakeholders	Cost and Source of Funding
Climate Vulnerability Assessment	MAIL	Outcome 1,2 and 3	UNDAF Outcome 1/CPD Outcome 3	August 2017	INL, MAIL, UNODC	Project Budget
Mid-Term Evaluation (As per the GEF requirements)	MAIL	Outcome 1,2 and 3	UNDAF Outcome 1/CPD Outcome 3	July 2019	MAIL	Project Budget
End of Project Evaluation	MAIL	Outcome 1,2 and 3	UNDAF Outcome 1/CPD Outcome 3	May 2022	MAIL	Project Budget

#### D. Project Quality Assurance Report Plan

Implementing Partner	Adjusted Risk Rating	Spot Checks	Programme/Project Monitoring *	Scheduled Audits	Audit Type	Scheduled Evaluation	Remarks
Ministry of Agriculture, Irrigation and Livestock (MAIL)	Significant	3 Spot Checks in a Financial Year conducted in April, August and December (201, 2018, 2019, 2020,2021,2022)	Refer to the project detailed monitoring plan	Annual audits 1 in February/March 2018, 2019 and 2020	Annual NIM Audit	Refer to the project detailed evaluation plan	

\* Programme/Project Monitoring includes visit of the projects on ground to see if targets are achieved as per the signed ProDoc

\* Spot Checks: On-site reviews of the IP's financial records of cash transfers

## E. Offline Risk Log



Project Title: Adapting Afghan Community to Climate-Induced Disaster Risk Project	Award ID: xxxxxx	Date: 14/03/2017
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#	Description	Date Identified	Type	Impact & Probability	Countermeasures / Mngt response	Owner	Submitted, updated by	Last Update	Status
1	Project sites in contested areas of the ongoing insurgency may not be fully accessible during project implementation, delaying or preventing project implementation	June – Nov 2016 During project formulation	Operational / Political	P: 4 I: 4	UN-DSS and MOSS procedures will be followed always to ensure safety and security of project staff. The project will follow NIM modality and work through local contractors (e.g. NGOs) who have a track record and are accepted within targeted communities. Strong coordination will be maintained with district and provincial governance structures to ensure their support. Project sites will be re-assessed during the project inception phase and project activities moved to more secure districts if necessary. CDCs will be used for implementation as far as possible to promote ownership and reduce security threats to outside staff.	National Government IP (MAIL)	Project Manager	N/A	N/A
2	Women, youth and minority groups in more conservative communities may be marginalised in their participation in project activities	August – Sep 2016 During project formulation	Operational	P: 3 I: 3	The project will work through local institutions (women's shuras and cooperatives, CBOs, CSOs, NGOs) to ensure full participation of women, youth and other marginalised groups in decision-making and implementation as far as possible, within culturally acceptable limits. In more conservative communities, separate DRR committees will be established for women and marginalised groups, with clear channels of communication between these and other DRR committees.	National Government IP (MAIL)	Project Manager	N/A	N/A
3	Poor coordination between stakeholders at national and provincial/district levels	2016 During project formulation	Organisational	P: 2 I: 2	Engagement with partners at all levels will commence with sensitisation of the relevant roles of all other partners "Project champions" with good relationships with other stakeholders will be identified to facilitate coordination between institutions. Strong coordination will be fostered through both formal and informal engagement with members of the PSC, PMU and other partners	National Government IP (MAIL)	Project Manager	N/A	N/A
4	Extreme climate events may disrupt project activities and/or damage infrastructure	During project formulation	Environmental	P: 3 I: 3	Monitoring of climate variables will be undertaken always to ensure that activities are implemented during periods of least risk Placement of project activities (e.g. emergency shelters and livelihood activities) will be within areas of least risk	National Government IP (MAIL)	Project Manager	N/A	N/A
5	Limited political support for the project	Nov -Dec 2016 during project formulation	Political	P: 2 I: 2	Regular coordination meetings will be held with government partners in relevant agencies and ministries to keep them updated and engaged in project activities Community-level coordination will be facilitated by engagement with all relevant local institutions	National Government IP (MAIL)	Project Manager	N/A	N/A

6	Rapid turnover of staff in relevant institutions hamper continuity	During formulation phase	Organisational	P: 3 I: 1	Established government and other implementation structures will be used to build on existing and familiar processes Alternative representatives will be identified within all relevant institutions and briefed on project progress at regular intervals	National Government IP (MAIL)	Project Manager	N/A	N/A
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**F. GEF Tracking Tools at Baseline**

See attached Excel spreadsheet

## G. Terms of Reference

The following are general terms of reference for key functions and positions in the project, which will be further elaborated during the project inception and implementation stages.

### Project Board

The role of Project Board has been described in Section 8: Governance and Management Arrangements. The Project Board shall at least twice annually, as well as on an ad hoc basis when requested by the Project Manager.

The Project Board is responsible for making management decisions for a project when guidance is required by the Project Manager, including approval of project plans and revisions. Given UNDP's ultimate accountability, Project Board decisions shall be made in accordance with standards<sup>47</sup> that shall ensure best value for money, fairness, integrity transparency and effective international competition. In case a consensus cannot be reached, final decisions shall rest with the UNDP Programme Manager (i.e. the UNDP Country Director).

Project reviews by the Project Board are made at designated decision points during the running of a project, or as necessary when raised by the Project Manager. The Project Board is consulted by the Project Manager for decisions when project tolerances are expected to be exceeded.<sup>48</sup>

Based on the approved annual work plan (AWP), the Project Board may review and approve project quarterly plans when required and authorises any major deviation from these agreed quarterly plans. The Project Board may sign off the completion of each quarterly plan as well as authorises the start of the next quarterly plan, ensuring that required resources are committed and arbitrating on any conflicts within the project and/or negotiating a solution to any problems between the project and external bodies.

The Project Board has specific responsibilities at different stages of the project. They include:

#### *Defining a project*

- Review and approve the Initiation Plan

#### *Initiating a project*

- Review the Progress Report for the Initiation Stage

#### *Running a project*

- Review and appraise detailed Project Plan and AWP, including Atlas reports covering activity definition, quality criteria, issue log, risk log and the monitoring and communication plan
- Provide overall guidance and direction to the project, ensuring it remains within any specified constraints
- Address project issues as raised by the Project Manager

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<sup>47</sup> UNDP Financial Rules and Regulations: Chapter E, Regulation 16.05: a) The administration by executing entities or, under the harmonized operational modalities, implementing partners, of resources obtained from or through UNDP shall be carried out under their respective financial regulations, rules, practices and procedures only to the extent that they do not contravene the principles of the Financial Regulations and Rules of UNDP. b) Where the financial governance of an executing entity or, under the harmonized operational modalities, implementing partner, does not provide the required guidance to ensure best value for money, fairness, integrity, transparency, and effective international competition that of UNDP shall apply.

<sup>48</sup> The Project Board has the responsibility to define for the Project Manager the specific project tolerances within which the Project Manager can operate without intervention from the Project Board. For example, if the Project Board sets a budget tolerance of 10%, the Project Manager can expend up to 10% beyond the approved project budget amount without requiring a revision from the Project Board.

- Provide guidance and agree on possible countermeasures/management actions to address specific risks
  - Agree on Project Manager’s tolerances in the Annual Work Plan and quarterly plans when required
- Conduct regular meetings to review the Project Quarterly Progress Report and provide direction and recommendations to ensure that the agreed deliverables are produced satisfactorily according to plans
- Review Combined Delivery Reports (CDR) prior to certification by the Implementing Partner, as necessary
- Review each completed project stage and approve progress to the next
- Appraise the Project Annual Progress Report, make recommendations for the next AWP, and inform the Outcome Board about the results of the review
- Provide ad-hoc direction and advice for exceptions when tolerances are exceeded
- Assess and decide on project changes through revisions

*At the end of the project*

- Assure that all Project deliverables have been produced satisfactorily
- Review and approve the Final Project Report , including lessons learned
- Make recommendations for follow-on actions to be submitted to the Outcome Board
- Commission project Evaluations
- Notify operational completion of the project to the Outcome Board

### **Project Manager**

The Project Manager will be accountable to both GoIRA and to the UNDP CO for the overall management of the project, including quality, timeliness and effectiveness of the services provided and the activities carried out, as well as for the use of funds. He/she will lead the project team on the technical aspects and strategic direction of the project, with guidance from the Project Board.

The project includes institutional capacity building activities, community consultations, vulnerability assessments in project sites, enhancing of DRR measures and EWS, promoting climate-resilient livelihoods, and M&E to assess the success of interventions. The Project Manager will ensure that work plans reflect the strategic direction of the project, while considering appropriate timing and challenges that could delay or disrupt project implementation.

The Project Manager should have a background in the technical aspects of the project (e.g. climate change adaptation, DRR/EWS) to guide the project implementation. Additional expertise will be obtained as needed through short-term consultancy support. The Project Manager’s responsibilities include:

- Ensure effective partnerships, including active engagement of national and sub-national government staff, as well as participation from sectoral and planning ministries, and collaboration with development partners
- Manage human and financial resources to achieve results in line with the outputs and activities outlined in the project document
- Lead the preparation and implementation of annual results-based workplans and the logistical framework, ensuring that the project appropriately reflects latest policy developments, as well as collaboration and synergy of efforts with ongoing efforts by government and development partners
- Day-to-day oversight and coordination of implementation of project activities
  - Monitor project activities and financial management of the project, ensure the project is on-track and on-budget, ensuring also that monitoring systems are effective
- Report and provide feedback on project strategies, activities, progress and challenges to the Project Board
- Ensure reporting requirements are met in a timely manner

- Applying evaluation criteria to past activities and make informed decisions on the way forward on the phased workplan of the project, including recommendations to the Project Board
- Establishing and maintaining monitoring and tracking system
- Prepare regular reports on the project status, progress and challenges, as well as on risks and impacts of risk mitigation measures, per UNDP requirements.
- Coordinate inputs to reports as required (including Annual Progress Reports, Inception Report, Quarterly Report, and the Terminal Report)

#### Deputy Project Manager:

The Deputy Project Manager will work under the direct supervision of the national project manager and in close collaboration with Livelihoods and Resilience Unit of UNDP, and will directly report to the Project Manager and will help the project manager to lead the project team through the planning and delivery of the Project. The DPM will be in the MAIL and will help the PM to run the project on a day-to-day basis on behalf of the Implementing Partners, within the constraints laid down by the Board. The DPM prime responsibility is to ensure that the project produces the results specified in the project document, to the required standard of quality and within the specified constraints of time and cost. The DPM will be responsible for financial management and disbursements, with accountability to MAIL and UNDP. The DPM will work closely with MAIL staff, MRRD, MoF, MoEW and the Regional offices.

Broadly, the key roles of the incumbent will be:

- Assist PM in proper implementation and management of Strengthening of rural livelihood options for Afghan communities project; and
- Manage Climate Change information, reporting and communication.

Specific activities under each of the above roles may be described as below:

- Actively involved in planning and budgeting of annual, trimestral and quarterly activities together with PM and Programme Officer from UNDP country office;
- Support PM to facilitate coordination with stakeholders;
- Assist PM in the supervision and performance monitoring of all programme-recruited staff across all levels;
- Support PM in monitoring progress of programme finance and overall project delivery;
- Assist PM on day-to-day management of the project;
- Ensure overall coordination and collaboration between the Programme staff;
- Assist PM in ensuring timely monitoring of the field activities and reporting;
- Undertake regular field visits to track progress of implementation at the field level;
- Participate and contribute in project related meetings and workshops;
- Work closely with Finance and Account Officer, Regional Coordinators, and other staff as necessary for financial and programmatic matter; and
- Undertake any other relevant action for achieving the desired results and perform the tasks of the PM as and when assigned and/or delegated;
- Closely review and update Climate change related information.
- Assist PM to prepare monthly, quarterly, annual reports in compliance with the government, UNDP and donors' requirements.
- Ensure all visibility requirements/obligations for Climate Change Project's communication materials



- Undertake any other necessary actions to achieve the project results and as assigned by the Project manager

### Gender Officer

The Gender Specialist will provide advisory services to entire Project Management Unit (PMU) on mainstreaming of gender into all aspects of the project.

- Conduct/supervise appropriate gender analysis at the early stage of project to determine the different roles, needs and knowledge of women and men
- Develops gender equality action plan for the project
- Screens all training manuals, documents, policy briefs etc. developed by the project through gender perspective
- Introduces gender sensitization best-practices to the project
- Conducts capacity development programs for project staff on gender issues
- Ensures project results framework includes gender-responsive indicators and sex-disaggregated data where relevant.

### Finance officer

The Finance Officer will report and work under the direct supervision of the National Project Manager. He/she will be fully responsible for all financial management activities of the project including implementation of operational strategies, assist in the management of the project budget, management of project budget account, project cash management and facilitation of knowledge building and knowledge sharing. The Finance Officer has the following specific duties:

#### **1. Implementation of operational strategies**

- Full compliance of financial activities, financial recording/reporting system and audit follow up with UNDP rules, regulations, policies and strategies; implementation of the effective internal control, proper design and functioning of the financial resources management system;
- Project business processes mapping and elaboration/establishment of internal Standard Operating Procedures;
- Continuous analysis and monitoring of the financial situation, presentation of forecasts for development and management projects, monitoring of financial exception reports for unusual activities, transactions;
- Elaboration of the framework and conditions of contributions within the Projects resources mobilization efforts.

#### **2. Assists in the management of the project budget:**

- Assistance in financial resources management through planning, guiding of the resources in accordance with Government of Afghanistan's rules and regulations;
- Provision of project budget revisions and financial reporting to the MAIL, UNDP and project management;
- Preparation and monitoring of budget of the project;
- Establishment of the project budget's preparation/modification monitoring system, control of budgetary status versus authorized spending limits (ASL) and budgets delivery levels;
- Elaboration of proper mechanisms to eliminate deficiencies in budget management;
- Preparation of monthly expenditures details and balance to the project's management;
- Process the advance requests and reconcile it with the UNDP country office finance unit.

### **3. Ensures proper project account management:**

- Elaboration of the internal expenditures' control system which ensures that vouchers processed are matched and completed, transactions are correctly recorded; travel claims and other entitlements are duly processed;
- Timely response to the UNDP country office and MAIL requests to resolve financial data issues;
- Follow up with Financial Management Unit of UNDP on the Accounts Receivables for the project contributions and provide the funding status table to the project management.

### **4. Ensures proper project cash management:**

- Provision of information to supervisor, Project Manager on the results of the audit when satisfactory answers are not obtained;
- Timely preparation of monthly cash flow forecast to MAIL and UNDP;
- Handle the operational advance by keeping the record book for daily use.
- Ensure proper bank reconciliation of bank transactions.
- Ensures facilitation of knowledge building and knowledge sharing focusing on achievement of the following results:
  - Share the ideas and consult the project staff members on the financial rules;
  - Synthesis of lessons learnt and best practices in Finance;
  - Sound contributions to knowledge networks and communities of practice.

#### **Procurement and admin Officer**

The Procurement and admin Officer (PAO) will report and work under the direct supervision of National Project Manager. The PAO will be mainly responsible for managing administration, processing procurement of Goods, Works and Services by following national and International bidding procedures and selection and employment of Facilitating Partners/Consulting Firms and Individual Consultants in accordance with UNDP Procurement Guidelines and/or Afghanistan Procurement Law. The PAO is thoroughly responsible for all day to day administration issues and procurement related activities of the projects. His/her duties will include the following:

#### **Goods and Works:**

- Reviews specifications of Goods and Works and ensures compliance with full and open competition requirements and that the specifications are not restricted to a specific firm, Determines an appropriate method of procurement;
- Prepares bidding documents for National and International Bidding procedures;
- Seeks necessary approvals and arranges for advertisement in local and international publications;
- Receives bids and arranges for bid opening and technical evaluation;
- Prepares bid evaluation reports and seeks approvals including approvals from the UNDP and from the Procurement Directorate of MAIL, if so required;
- Prepares/issues Notices of Awards and obtains Performance Bonds;
- Prepares contractual instruments and obtains necessary signatures;
- Forwards copies of the contracts to concerned offices for implementation and contract management;
- Arranges for opening of letters of credit, if so required;
- Receives shipping documents and arranges for tax exemptions, and clearance of Goods, if applicable;

- Arranges for receipt and delivery and inspection of Goods;
- Reviews invoices and arranges for payment on an expeditious manner.
- Shopping: Arranges procurement of Goods and Works of small size contracts through shopping procedures as defined in the UNDP procurement guidelines.

**Services:**

- Develop/reviews Terms of Reference and ensures that all necessary information regarding Scope of Work, Objectives, key personnel qualifications, reporting requirements, deliverables and timeframe is mentioned appropriately.
- Plan, prioritize and implement project activities related to administrative and logistic needs;
- Ensure efficient, effective, responsive, transparent, and accountable provision of administrative and logistic services to support the implementation of project activities;
- Supervise the support staff including drivers and guards
- Arrange office space and necessary equipment for all staff and ensure that all furniture and equipment are in proper order including IT equipments and internet infrastructure both in the central and four provinces;
- Ensure a clean and healthy environment by ensuring proper maintenance of office premises;
- Ensure that the support staff performs their duties satisfactorily and provide them with adequate access to facilities and equipment they need to do their job properly;
- Prepare, maintain and update personnel files of all the staff of the project;
- Ensure production and maintenance of up-to-date and accurate administrative records and reports including an organized filing system.
- Design and conduct logistics and supply needs assessment and prepare plans accordingly;
- Ensure timely inventory of newly acquired equipments and assets;
- Review and update transportation needs of the project and arrange vehicles including rental vehicles accordingly;
- Oversee management of the daily pool of the drivers and vehicles to guide them on their duties i.e. ensuring timely services, re-fueling, recording log-books, and maintenance of vehicles;
- Review on a monthly basis the driver's log book and track fuel consumption, maintenance, oil consumption, and movement of vehicles;
- Ensure proper conduct of drivers by arranging briefing session for the drivers on safe driving, proper maintenance of vehicles, and security precautions;
- Facilitate organizing events such as conferences, workshops, seminars and meetings;
- Provide travel arrangements for national partners and project staffs within and outside Afghanistan;
- Provide travel arrangements for national partners and project staffs
- Keep filing system up to date and accessible for the department. Receiving, distributing and filing all incoming and outgoing mail (letters, requests, e-mails, official documents).
- Any other duties assigned by the National Project Manager
- 
- Advertises Expressions of Interests in national and international publications as appropriate;
- Receives Expressions of Interest and forwards them to the technical evaluation panel;
- Prepares short-listing of qualified firms;
- Prepares and Issues Requests For Proposals (RFPs) to the shortlisted/approved firms;

- Arranges pre-proposal conferences, if so required;
- Receives technical and financial proposals, opens technical proposals and records meeting minutes;
- Forwards technical proposals to the Technical Evaluation Panel (TEP) with scoring sheets/technical evaluation reports and assists the members on as and when required basis;
- Prepares short-listing according to the scores of the technical evaluation reports (TERs);
- Arranges opening of Financial Proposal(s), as the case may be, and conducts Combined Quality and Cost Evaluation;
- Invites the Best Qualified Firms for negotiations, assists the evaluation committee in cost analysis and in defining the requirements of the RFP;
- Combines scores of technical and financial and prepares summary reports to ascertain the Qualified Firms for (QCBS) or the Best Qualified Firm for QBS and by other methods;
- Obtains NoLs from the UNDP on all stages mentioned in the Guidelines for Selection and Employment of Consultants;
- Holds Debriefing meetings, as requested by the bidder(s); and
- Stays responsible for the management of the contracts.
- Procurement Plan: Procurement Officer's one of the main responsibilities is to prepare Procurement Plan and Procurement Activity Schedule. Make sure that all procurements are conducted in accordance with the provisions of the approved procurement plan.

**Expected Results:**

- All procurement processes of Goods, Works and Services are carried out in a fair and transparent manner in accordance with the UNDP guidelines;
- Procurement plan is developed and approved;
- Execution of the approved procurement plan according to the estimated budget and within the set timeline;
- All the Works and Services contracts are closely monitored and thoroughly managed.
- Project has a suitable office and all staff has workspace including the national/international short term consultants
- Project and project staff have all the necessary equipment available on-time
- There is a proper filing system in place in the project including personnel files
- Project support staff is managed and equipment are well maintained

## H. UNDP Social and Environment Screening

### Project Information

<b>Project Information</b>	
1. Project Title	Adapting Afghan Communities to Climate-Induced Disaster Risks
2. Project Number	6914
3. Location (Global/Region/Country)	Afghanistan

### Part A. Integrating Overarching Principles to Strengthen Social and Environmental Sustainability

#### QUESTION 1: How Does the Project Integrate the Overarching Principles in order to Strengthen Social and Environmental Sustainability?

##### *Briefly describe in the space below how the Project mainstreams the human-rights based approach*

Enhancing the safety and well-being of rural communities in Afghanistan is the objective of the project. Climate change is resulting in increased risks of disasters (particularly droughts and floods) as well as reduced income from livelihoods. Project activities will take a participatory and inclusive approach to empowering communities to adapt to the impacts of climate change. Moreover, there is a strong emphasis on the involvement of marginalised groups – particularly women, *Kuchi* nomads and internally displaced peoples – in project activities and as primary beneficiaries. The project supports the achievement of inalienable human rights such as the right to: i) life; ii) social security; and iii) an adequate standard of living.

##### *Briefly describe in the space below how the Project is likely to improve gender equality and women's empowerment*

Gender responsiveness has been mainstreamed into the design of project activities. The project will engage with women's *shuras* and cooperatives to empower women in addressing their climate vulnerabilities and adaptation needs. There will be strong representation of women in decisions pertaining to their livelihood options. The project also targets women through activities specifically designed to addressing their climate vulnerabilities. For example, the climate-resilient livelihoods and community-based early warning and disaster response mechanisms will all specifically cater for the priorities of women along with other marginalised groups. This will ensure that project interventions are tailored to meet the needs of all groups, while remaining sensitive to cultural and traditional values. The project's M&E and results-based management frameworks include gender-specific indicators and considerations to ensure that progress towards gender-responsive targets is adequately considered. The project has thus fully integrated gender considerations into all relevant activities while remaining sensitive to traditionally-held customs and practices.

##### *Briefly describe in the space below how the Project mainstreams environmental sustainability*




The project will reduce pressures on natural ecosystems and thus contribute towards preventing environmental degradation. Climate-resilient and environmentally sustainable livelihood options will be promoted that will minimise over-exploitation of ecosystem goods and services.

**Part B. Identifying and Managing Social and Environmental Risks**

<p><b>QUESTION 2: What are the Potential Social and Environmental Risks?</b></p> <p><i>Note: Describe briefly potential social and environmental risks identified in Attachment 1 – Risk Screening Checklist (based on any “Yes” responses). If no risks have been identified in Attachment 1 then note “No Risks Identified” and skip to Question 4 and Select “Low Risk”. Questions 5 and 6 not required for Low Risk Projects.</i></p>		<p><b>QUESTION 3: What is the level of significance of the potential social and environmental risks? <i>Note: Respond to Questions 4 and 5 below before proceeding to Question 6</i></b></p>		<p><b>QUESTION 6: What social and environmental assessment and management measures have been conducted and/or are required to address potential risks (for Risks with Moderate and High Significance)?</b></p>																	
<b>Risk Description</b>	<b>Impact and Probability (1-5)</b>	<b>Significance (Low, Moderate, High)</b>	<b>Comments</b>	<b>Description of assessment and management measures as reflected in the Project design. If ESIA or SESA is required note that the assessment should consider all potential impacts and risks.</b>																	
Agricultural and other rural livelihood options have detrimental and negative environmental effects	I = 2 P = 1	Low		The livelihood options to be promoted through this project will have minimal impact on the environment. Only livelihood options that are environmentally sustainable will be promoted.																	
Construction of infrastructure may have negative environmental impacts	I = 3 P = 1	Low		All infrastructure to be constructed through the project is likely to be small-scale in nature, with minimal impacts on the natural environment.																	
<p><b>QUESTION 4: What is the overall Project risk categorization?</b></p> <table border="1"> <tr> <td colspan="2"><b>Select one (see <a href="#">SESP</a> for guidance)</b></td> <td colspan="2"><b>Comments</b></td> </tr> <tr> <td><i>Low Risk</i></td> <td><input checked="" type="checkbox"/></td> <td colspan="2"></td> </tr> <tr> <td><i>Moderate Risk</i></td> <td><input type="checkbox"/></td> <td colspan="2"></td> </tr> <tr> <td><i>High Risk</i></td> <td><input type="checkbox"/></td> <td colspan="2"></td> </tr> </table>						<b>Select one (see <a href="#">SESP</a> for guidance)</b>		<b>Comments</b>		<i>Low Risk</i>	<input checked="" type="checkbox"/>			<i>Moderate Risk</i>	<input type="checkbox"/>			<i>High Risk</i>	<input type="checkbox"/>		
<b>Select one (see <a href="#">SESP</a> for guidance)</b>		<b>Comments</b>																			
<i>Low Risk</i>	<input checked="" type="checkbox"/>																				
<i>Moderate Risk</i>	<input type="checkbox"/>																				
<i>High Risk</i>	<input type="checkbox"/>																				

QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are relevant?		
Check all that apply		Comments
<i>Principle 1: Human Rights</i>	<input type="checkbox"/>	
<i>Principle 2: Gender Equality and Women's Empowerment</i>	<input type="checkbox"/>	
<i>1. Biodiversity Conservation and Natural Resource Management</i>	<input type="checkbox"/>	
<i>2. Climate Change Mitigation and Adaptation</i>	<input type="checkbox"/>	
<i>3. Community Health, Safety and Working Conditions</i>	<input type="checkbox"/>	
<i>4. Cultural Heritage</i>	<input type="checkbox"/>	
<i>5. Displacement and Resettlement</i>	<input type="checkbox"/>	
<i>6. Indigenous Peoples</i>	<input type="checkbox"/>	
<i>7. Pollution Prevention and Resource Efficiency</i>	<input type="checkbox"/>	

Final Sign Off

Signature	Date	Description
	01/05/2017	UNDP staff member responsible for the Project, typically a UNDP Programme Officer. Final signature confirms they have "checked" to ensure that the SESP is adequately conducted.
	01/05/2017	UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have "cleared" the SESP prior to submittal to the PAC.
	01/05/2017	UNDP chair of the PAC. In some cases, PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.

**SESP Attachment 1. Social and Environmental Risk Screening Checklist**

<b>Checklist Potential Social and Environmental Risks</b>	
<b>Principles 1: Human Rights</b>	<b>Answer (Yes/No)</b>
1. Could the Project lead to adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	No
2. Is there a likelihood that the Project would have inequitable or discriminatory adverse impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups? <sup>49</sup>	No
3. Could the Project potentially restrict availability, quality of and access to resources or basic services, in particular to marginalized individuals or groups?	No
4. Is there a likelihood that the Project would exclude any potentially affected stakeholders, in particular marginalized groups, from fully participating in decisions that may affect them?	No
5. Is there a risk that duty-bearers do not have the capacity to meet their obligations in the Project?	No
6. Is there a risk that rights-holders do not have the capacity to claim their rights?	No
7. Have local communities or individuals, given the opportunity, raised human rights concerns regarding the Project during the stakeholder engagement process?	No
8. Is there a risk that the Project would exacerbate conflicts among and/or the risk of violence to project-affected communities and individuals?	No
<b>Principle 2: Gender Equality and Women’s Empowerment</b>	
1. Is there a likelihood that the proposed Project would have adverse impacts on gender equality and/or the situation of women and girls?	No

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<sup>49</sup> Prohibited grounds of discrimination include race, ethnicity, gender, age, language, disability, sexual orientation, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to “women and men” or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender people and transsexuals.



2. Would the Project potentially reproduce discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	No
3. Have women's groups/leaders raised gender equality concerns regarding the Project during the stakeholder engagement process and has this been included in the overall Project proposal and in the risk assessment?	No
4. Would the Project potentially limit women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services?	No
<b>Principle 3: Environmental Sustainability:</b> Screening questions regarding environmental risks are encompassed by the specific Standard-related questions below	
<b>Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management</b>	
1.1 Would the Project potentially cause adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services?	No
1.2 Are any Project activities proposed within or adjacent to critical habitats and/or environmentally sensitive areas, including legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3 Does the Project involve changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	No
1.4 Would Project activities pose risks to endangered species?	No
1.5 Would the Project pose a risk of introducing invasive alien species?	No
1.6 Does the Project involve harvesting of natural forests, plantation development, or reforestation?	No
1.7 Does the Project involve the production and/or harvesting of fish populations or other aquatic species?	No
1.8 Does the Project involve significant extraction, diversion or containment of surface or ground water?	No

1.9	Does the Project involve utilization of genetic resources? (e.g. collection and/or harvesting, commercial development)	No
1.10	Would the Project generate potential adverse transboundary or global environmental concerns?	No
1.11	Would the Project result in secondary or consequential development activities which could lead to adverse social and environmental effects, or would it generate cumulative impacts with other known existing or planned activities in the area?	No
<b>Standard 2: Climate Change Mitigation and Adaptation</b>		
2.1	Will the proposed Project result in significant <sup>50</sup> greenhouse gas emissions or may exacerbate climate change?	No
2.2	Would the potential outcomes of the Project be sensitive or vulnerable to potential impacts of climate change?	No
2.3	Is the proposed Project likely to directly or indirectly increase social and environmental vulnerability to climate change now or in the future (also known as maladaptive practices)? <i>For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding</i>	No
<b>Standard 3: Community Health, Safety and Working Conditions</b>		
3.1	Would elements of Project construction, operation, or decommissioning pose potential safety risks to local communities?	No
3.2	Would the Project pose potential risks to community health and safety due to the transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	No
3.3	Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)?	No
3.4	Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of buildings or infrastructure)	No

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<sup>50</sup> In regards to CO<sub>2</sub>, 'significant emissions' corresponds generally to more than 25,000 tons per year (from both direct and indirect sources). [The Guidance Note on Climate Change Mitigation and Adaptation provides additional information on GHG emissions.]

3.5	Would the proposed Project be susceptible to or lead to increased vulnerability to earthquakes, subsidence, landslides, erosion, flooding or extreme climatic conditions?	No
3.6	Would the Project result in potential increased health risks (e.g. from water-borne or other vector-borne diseases or communicable infections such as HIV/AIDS)?	No
3.7	Does the Project pose potential risks and vulnerabilities related to occupational health and safety due to physical, chemical, biological, and radiological hazards during Project construction, operation, or decommissioning?	No
3.8	Does the Project involve support for employment or livelihoods that may fail to comply with national and international labor standards (i.e. principles and standards of ILO fundamental conventions)?	No
3.9	Does the Project engage security personnel that may pose a potential risk to health and safety of communities and/or individuals (e.g. due to a lack of adequate training or accountability)?	No
<b>Standard 4: Cultural Heritage</b>		
4.1	Will the proposed Project result in interventions that would potentially adversely impact sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: Projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.2	Does the Project propose utilizing tangible and/or intangible forms of cultural heritage for commercial or other purposes?	No
<b>Standard 5: Displacement and Resettlement</b>		
5.1	Would the Project potentially involve temporary or permanent and full or partial physical displacement?	No
5.2	Would the Project possibly result in economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	No

5.3	Is there a risk that the Project would lead to forced evictions? <sup>51</sup>	No
5.4	Would the proposed Project possibly affect land tenure arrangements and/or community-based property rights/customary rights to land, territories and/or resources?	No
<b>Standard 6: Indigenous Peoples</b>		
6.1	Are indigenous peoples present in the Project area (including Project area of influence)?	Yes
6.2	Is it likely that the Project or portions of the Project will be located on lands and territories claimed by indigenous peoples?	No
6.3	Would the proposed Project potentially affect the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the Project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)?	No
6.4	Has there been an absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5	Does the proposed Project involve the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6	Is there a potential for forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources?	No
6.7	Would the Project adversely affect the development priorities of indigenous peoples as defined by them?	No
6.8	Would the Project potentially affect the physical and cultural survival of indigenous peoples?	No
6.9	Would the Project potentially affect the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices?	No

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<sup>51</sup> Forced evictions include acts and/or omissions involving the coerced or involuntary displacement of individuals, groups, or communities from homes and/or lands and common property resources that were occupied or depended upon, thus eliminating the ability of an individual, group, or community to reside or work in a particular dwelling, residence, or location without the provision of, and access to, appropriate forms of legal or other protections.

<b>Standard 7: Pollution Prevention and Resource Efficiency</b>		
7.1	Would the Project potentially result in the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
7.2	Would the proposed Project potentially result in the generation of waste (both hazardous and non-hazardous)?	No
7.3	Will the proposed Project potentially involve the manufacture, trade, release, and/or use of hazardous chemicals and/or materials? Does the Project propose use of chemicals or materials subject to international bans or phase-outs?	No
7.4	Will the proposed Project involve the application of pesticides that may have a negative effect on the environment or human health?	No
7.5	Does the Project include activities that require significant consumption of raw materials, energy, and/or water?	No

## I. Letters of co-financing



دولت جمهوری اسلامی افغانستان  
وزارت زراعت، آبیاری و مالداری

د افغانستان اسلامي جمهوري دولت  
د کرنې، اوبوونکوونو او مالداری وزارت



Islamic Republic of Afghanistan  
Ministry of Agriculture, Irrigation and Livestock

6 October 2016

Dear Mr. Keh,

**Subject: Co-financing for the project "Adapting Afghan Communities to Climate Induced Disaster Risks"**

This letter is to confirm the support of the Ministry of Agriculture, Irrigation and Livestock (MAIL) to the GEF-LDCF Full-Sized Project: "Adapting Afghan Communities to Climate Induced Disaster Risks" currently being developed through the support of UNDP.

The Ministry of Agriculture, Irrigation and Livestock has ongoing projects with a total budget of around five millions USD in the province of Nangarhar that are mostly aligned to the proposed UNDP Project as indicated in the subject of this letter. The interventions are:

- Rehabilitation and Management of Forests and Watersheds Project by Natural Resource Management (NRM) directorate: To enable the communities in forest and watershed management, provision of livelihood alternatives and organizing community NRM groups and associations for sustainable management of these assets.
- On Farm Water Management Project by Irrigation Directorate: To reduce water wastages at the farm level, form water user associations for better usage and distribution of water in the communities.
- Saffron Extension Project by Extension Directorate: To create alternative livelihoods for the farmers, men and women and to provide resilient livelihoods.

In this regard, we would like to confirm our collaboration with the UNDP/GEF-LDCF project "Adapting Afghan Communities to Climate Induced Disaster Risks". We would like to remain supportive of the objectives of the project proposed by UNDP.

We look forward to working together with UNDP towards achieving the project objectives to improve preparedness and resilience of selected Afghan communities to climate-induced disaster risks.

Sincerely,

Assadullah Zahir  
Minister

Douglas Keh  
Country Director, United Nations Development Program

دولت جمهوری اسلامی افغانستان وزارت زراعت، آبیاری و مالداری  
د افغانستان اسلامي جمهوري دولت د کرنې، اوبوونکوونو او مالداری وزارت



Afghanistan Resident Mission

21 April 2016

Mr. Douglas Keh  
Country Director  
United Nations Development Program  
Country Office  
Kabul, Afghanistan

**Subject: Request for Asian Development Bank's (ADB) Cooperation with UNDP**

Dear Mr. Keh,

Reference made to your staff request for ADB's confirmation in extending support to your planned program of Adapting Afghan Communities to Climate Induced Disaster Risk (AACIDR), this is to confirm that ADB will coordinate activities, share information and remain supportive of the proposed program of UNDP.

ADB has ongoing projects that are mostly aligned to the proposed UNDP program and requires cooperation so to support the common objectives mutually. The projects are:

- Water Resources Development Investment Program for \$190 million that covers northern and eastern provinces of the country, specifically the ongoing component of bank protection at Amu Darya which is categorized as disaster risk reduction that controls erosion during flood events.
- Northern Flood-Damaged Emergency Infrastructure Rehabilitation Project for \$57 million that focuses on more than 1000 damaged structures rehabilitation, where about 6km of retaining walls along creeks are also included.
- Economics of Climate Change in Central and West Asia, where for Afghanistan the Regional Technical Assisstant focuses on climate change adaptation.

We look forward for close cooperation and coordination between UNDP and ADB's programs and to extend our support to the Government of Islamic Republic of Afghanistan toward achievement of the poverty alleviation and country development goals.

Sincerely

Thomas Panella  
Country Director

Afghanistan Resident Mission  
E.A.S. 17c, Haji Yousaf Roundabout  
S. 7, Shapere-New, Kabul

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**THE WORLD BANK**

IBRD • IDA | WORLD BANK GROUP

Afghanistan Country Office

Street 15, House 19,  
Wazir Akbar Khan  
Kabul, Afghanistan

Tel: (0093) 701133341

April 13, 2016

Mr. Douglas Keh  
Country Director  
United Nations Development Program  
UNDP Country Office  
Kabul, Afghanistan

Dear Mr. Keh:

*Re: World Bank Technical Assistance for Establishing Critical Risk Information (ECRI) Support to the UNDP's Adapting Afghan Communities to Climate Induced Disaster Risks (AACCIDR) Project*

This letter is to confirm the support of the World Bank in Afghanistan to the GEF-LDCF Full-Sized Project Adapting Afghan Communities to Climate Induced Disaster Risks currently being developed through the support of UNDP.


The World Bank is currently implementing a Technical Assistance (TA) in Afghanistan titled Establishing Critical Risk Information with a total budget of US\$2.5 million. This TA is running from March 2015–May 2017 and undertakes the following activities:

- **National multi-peril risk assessment:** To produce multi-peril (flood, landslides, avalanche, earthquake, and drought) risk assessment and hazard mappings.
- **Early Warning System (EWS):** As a first step towards an EWS, the project will finance an organizational roadmap for designing effective national EWS and strengthening hydro-met services in Afghanistan.
- **Capacity building:** This component will focus on building capacity at ANDMA to allow the agency to take on its mandate. This effort will be initiated through World Bank support for the new State Minister to develop a new Strategic Framework.

In this regard, we would like to confirm our cooperation, working on mutually supportive activities towards a common objective and information sharing (e.g. risk maps, best practices, case studies.) with the UNDP/GEF-LDCF project. The World Bank will remain supportive of the objectives of the work proposed by UNDP.

We look forward to close collaboration between the ECRI and AACCIDR projects and with the Government of the Islamic Republic of Afghanistan towards achieving the development priorities of Afghan communities and strengthening their resilience against natural and climate induced disasters.

Sincerely,

  
Stephen N. Ndegwa

Operations Manager for Afghanistan  
/ South Asia Region

cc: Mohammad Salim, Programme Officer DRR/CCA, Livelihood and Resilience Unit, UNDP



**United Nations Development Programme**  
Country office for Afghanistan



*Empowered lives.  
Resilient nations.*

Date: 12 April 2017


Dear Dr. Naoko Ishii,

**Subject:** Co-financing for the project "Adapting Afghan Communities to Climate-Induced Disaster Risks".

This is to confirm the support of the UNDP Afghanistan Country Office to the GEF-UNDP Full-Sized Project "Adapting Afghan Communities to Climate-Induced Disaster Risks". UNDP Afghanistan provides cash co-financing in the amount of \$1,000,000 (US dollar one million) to be contributed during the five years' duration of the project.

The project document has been prepared jointly by the Government of Afghanistan, UNDP Country Office and other relevant stakeholders with the objective to adapt Afghan communities to climate induced disaster risks.

Yours Sincerely,

  
Cecelyn Mason  
Senior Deputy Country Director Programme

Dr. Naoko Ishii  
CEO and Chairperson  
Global Environment Facility  
Washington, DC  
USA

## J. GEF OPF Endorsement Letter



د افغانستان اسلامي جمهوري دولت  
د چاپيريال ساتنې ملي اداره  
دولت جمهوري اسلامي افغانستان  
اداره ملي حفاظت محيط زمينت



Government of the Islamic Republic of Afghanistan  
National Environmental Protection Agency

### Executive Office

Date: 1393/6/30

Ref No: 2014

21 September 2014

To: Ms. Adriana Dinu  
United Nations Development Programme  
New York, NY, USA

**Subject: Endorsement for "Adapting Afghan Communities to Climate-Induced Disaster Risks"**

In my capacity as GEF Operational Focal Point for the Government of the Islamic Republic of Afghanistan, I confirm that the above project proposal (a) is in accordance with my government's national priorities, including the priorities identified in Afghanistan's National Adaptation Programme of Action (NAPA) and our commitment to the relevant global environmental conventions; and (b) was discussed with relevant stakeholders, including the global environmental convention Focal Points.

I am pleased to endorse the preparation of the above project proposal with the support of the GEF Agency(ies) listed below. If approved, the proposal will be prepared and implemented by the Ministry of Rural Rehabilitation and Development (MRRD) in collaboration with the National Environmental Protection Agency (NEPA) and Afghanistan National Disaster Management Authority (ANDMA). I request the GEF Agency(ies) to provide a copy of the project document before it is submitted to the GEF Secretariat for CEO endorsement.

The total financing (from GEFTE, LDCF, SCCF and/or NPIF) being requested for this project is US\$ 6,296,250, inclusive of the project preparation grant (PPG), if any, and Agency fees for project cycle management services associated with the total GEF grant. The financing requested for Afghanistan is detailed in the table below:

Source of Funds	GEF Agency	Focal Area	Amount (in US\$)			
			Project Preparation	Project	Fee	Total
LDCF	UNDP	CC	150,000	5,600,000	546,250	6,296,250
<b>Total GEF Resources</b>			150,000	5,600,000	546,250	6,296,250

## K. Reallocation of project budget between outcomes during PPG phase

During the Project Preparation Grant phase for the AACCIDR project, numerous requests were received during a series of stakeholder consultations undertaken at national and provincial levels to increase funding allocation for the project **Outcome 3: Climate-resilient livelihoods focusing on vulnerable groups are implemented in selected communities**. These requests came in particular from the Ministry of Agriculture, Irrigation and Livestock as the main Implementing Partner identified for this project, as well as from representatives of other government ministries/agencies, civil society and non-governmental organisations.

The requests for re-allocations within the project outcomes against the approved Project Identification Form (PIF) were reviewed and endorsed at the National Validation Workshop during November 2017. They were also endorsed during the Local Project Appraisal Committee meeting in December 2017. In particular, consideration was given that such a re-allocation would not be detrimental to the delivery of benefits under the other three outcomes. The proposed budget re-allocation to Outcome 3 would enhance the delivery of project benefits related to climate-resilient habitats and shelters as well as climate-resilient livelihood opportunities. The re-allocation meets the criteria of the policies of the GEF concerning re-allocations in that it will not impact on the achievement of the project’s objectives, nor does it constitute an increase of the overall request GEF Project Financing.<sup>52</sup>

**Table 1. Comparison of budget allocations per outcome between PIF and PPG phase.**

Project outcomes	Original allocation in PIF	Re-allocation	Explanation for re-allocation
1. Decision-making and implementation of gender-sensitive climate-induced disaster risk reduction measures in selected communities enhanced.	1,500,000	967,060	This reduction will not affect delivery of the outcome on enhanced capacities for implementation of DRR measures. Project activities under this outcome provide for considerable training of local communities, as well as hazard and risk mapping with associated vulnerability assessments.
2. Community-based early warning systems established and effectively utilised by all vulnerable groups.	2,340,000	1,582,600	The original intent during the PIF formulation was to establish a centralised EWS with links to a national database and processing centre for generation of early warnings. However, capacity within ANDMA and ANA are currently nascent, and it is beyond the scope of the proposed project to implement such a centralised system. Instead, a decentralised and community-based approach will be undertaken, resulting in more cost-effective and sustainable EWS being established in targeted communities.
3. Climate-resilient livelihoods focusing on vulnerable groups are implemented in selected communities.	1,000,000	2,468,000 (GEF) 658,850 (UNDP)	During the PPG phase, it was established that the amount of funding available under the original PIF allocation was inadequate to meaningfully address the impacts of climate change on community lives and livelihoods. Numerous requests were made by relevant stakeholders to increase the funding under

<sup>52</sup> GEF regulations concerning re-allocation of budget amounts between Project Identification Form approval and endorsement of the full project design allow for such re-allocations as long as they do not result in a major amendment to the project, defined as “a change in project design or implementation that has a significant impact on the project’s objectives or scope or an increase of the GEF Project Financing of more than five percent”.

			Outcome 3 as this would have a considerable positive impact on the climate resilience of rural communities in the face of both rapid-onset climate-induced disasters (e.g. floods) as well as slow-onset disasters (e.g. drought).
4. Strengthened institutional capacities to integrate climate risks and opportunities into national and provincial development plans, policies, budgetary allocation and implementation mechanisms.	500,000	322,340	This reduction will not affect delivery of the outcome on strengthened institutional capacities to integrate climate change into development planning. Project activities under this outcome will facilitate planning and policy-making on gender-sensitive adaptation measures at all levels, support the work of the NEPA Climate Change Unit and promote knowledge sharing on at the national and international levels.
Project management costs	260,000	260,000	N/A
<b>Project total</b>	<b>5,600,000</b>	<b>5,600,000</b>	N/A

## Annex L. Agreements

Annex L. Agreements



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### STANDARD LETTER OF AGREEMENT BETWEEN UNDP AND THE GOVERNMENT OF ISLAMIC REPUBLIC OF AFGHANISTAN FOR PROVISION OF SUPPORT SERVICES

May 01, 2017

H.E. Asadullah Zamir,

1. Reference is made to consultations between officials of the Government of the Islamic Republic of Afghanistan and officials of UNDP with respect to the provision of support services by the UNDP country office for nationally managed programmes and projects. UNDP and the Government hereby agree that the UNDP country office may provide such support services at the request of the Government through its institution designated in the relevant programme support document or project document, as described below.
2. The UNDP country office may provide support services for assistance with reporting requirements and direct payment. In providing such support services, the UNDP country office shall ensure that the capacity of the Government-designated institution is strengthened to enable it to carry out such activities directly. The costs incurred by the UNDP country office in providing such support services shall be recovered from the administrative budget of the office.
3. The UNDP country office may provide, at the request of the designated institution, the following support services for the activities of the programme/project:
  - (a) Policy Support
  - (b) Training and workshop
  - (c) Identification and/or recruitment of project and programme personnel
  - (d) Identification and facilitation of training activities including workshops, short term trainings and study tours;
  - (e) Procurement of goods and services;
4. The procurement of goods and services and the recruitment of project and programme personnel by the UNDP country office shall be in accordance with the UNDP regulations, rules, policies and procedures. Support services described in paragraph 3 above shall be detailed in an annex to the programme support document or project document, in the form provided in the Attachment hereto. If the requirements for support services by the country office change during the life of a programme or project,

the annex to the programme support document or project document is revised with the mutual agreement of the UNDP resident representative and the designated institution.

5. The relevant provisions of the Standard Technical Assistant Agreement (STAA) signed between the Government of Afghanistan and the United Nations on 10 May 1956 including the provisions on liability and privileges and immunities, shall apply to the provision of such support services. The Government shall retain overall responsibility for the nationally managed programme or project through its designated institution. The responsibility of the UNDP country office for the provision of the support services described herein shall be limited to the provision of such support services detailed in the annex to the programme support document or project document.

6. Any claim or dispute arising under or in connection with the provision of support services by the UNDP country office in accordance with this letter shall be handled pursuant to the relevant provisions of the SBAA.

7. The manner and method of cost-recovery by the UNDP country office in providing the support services described in paragraph 3 above shall be specified in the annex to the programme support document or project document.


8. The UNDP country office shall submit progress reports on the support services provided and shall report on the costs reimbursed in providing such services, as may be required.

9. Any modification of the present arrangements shall be effected by mutual written agreement of the parties hereto.

10. If you are in agreement with the provisions set forth above, please sign and return to this office two signed copies of this letter. Upon your signature, this letter shall constitute an agreement between your Government and UNDP on the terms and conditions for the provision of support services by the UNDP country office for nationally managed programmes and projects.

Yours sincerely,

  
Signed on behalf of UNDP  
Douglas Keh  
Country Director

  
For the Government  
H.E. Asadullah Zamir  
Minister of Agriculture, Irrigation & Livestock  
Government of the Islamic Republic of Afghanistan

Attachment

**DESCRIPTION OF UNDP COUNTRY OFFICE SUPPORT SERVICES**

1. Reference is made to consultations between Ministry of Agriculture, Irrigation and Livestock (MAIL), the institution designated by the Government of Afghanistan and officials of UNDP with respect to the provision of support services by the UNDP country office for the nationally managed project “Adapting Afghan Communities to Climate Induced-disaster risks”.

2. In accordance with the provisions of the letter of agreement signed on 01/05/2017 and the project document, the UNDP country office shall provide support services for the Project as described below.

3. Support services to be provided:

#	Type of Support Service	Schedule for the provision of the support services	Cost to UNDP of providing such support services	Amount and method of reimbursement to UNDP
1	<b>Project services:</b> - Recruitment package for 3 international consultants and 2 national consultants - Purchase order creation and related milestone management and payment processing - Creation of vendor IDs - Processing voucher as per Direct Payment modality - Travel arrangement for participants and related payment processing	To be processed as per AWP	As per UPL/Local Price List or actual cost, total services fee is estimated up to USD228,450* *	ATLAS billing
2	<b>Organizing and facilitation of training/workshops</b>	as per AWP allocated for Outcome 4	as per AWP allocated for Outcome 4	ATLAS billing
	<b>Identification and recruitment of international consultants for reporting and documentation of results</b>			
	<b>Identification and recruitment for midterm review and final evaluation</b>			

\*\* Total services fee of USD228,450 consists of:

1. USD45,600 to be charged to GEF Fund allocated under PMC. This is estimated for vendor creation of 800 requests.
2. USD182,850 to be charged to other fund allocated under PMC.